

FORM PTO-1390

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**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

JUN 22 2001

OFFICE

Attorney's Docket No.

2313-113

U.S. Application No. (if known, see 37 CFR 1.5)

**09/869082**INTERNATIONAL APPLICATION NO.  
PCT/US99/30519INTERNATIONAL FILING DATE  
22 December 1999PRIORITY DATE CLAIMED  
23 December 1998**TITLE OF INVENTION: APPARATUS AND METHODS FOR EFFICIENT PROCESSING OF BIOLOGICAL SAMPLES ON SLIDES****APPLICANT(S) FOR DO/EO/US** Wei-Sing CHU

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

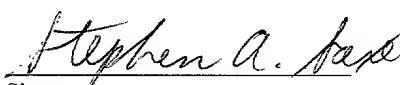
1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US)
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has **NOT** expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**ITEMS 11. TO 16. below concern other document(s) or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.  
 A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information: Courtesy copy of International Application w/drawings and International Search Report.

U.S. APPLICATION NO. (if known, see 37 CFR 1.50)

INTERNATIONAL APPLICATION NO.  
PCT/US99/30519ATTORNEY DOCKET NO  
2313-113

<p>17. [ X ] The following fees are submitted:</p> <p><b>Basic National Fee (37 CFR 1.492)(a)(1)-(5):</b></p> <p>Search Report has been prepared by the EPO or JPO \$ 860.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) \$ 600.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) \$ 710.00  but international search fee paid to USPTO (37 CFR 1.445(a)(2))  Neither international preliminary examination fee (37 CFR 1.482) \$ 1,000.00  nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  International preliminary examination fee paid to USPTO (37 CFR 1.482) \$ 100.00  and all claims satisfied provisions of PCT Article 33(2)-(4)</p>				CALCULATIONS <b>09/869082</b> JCT8 Rec'd PCT/PTO 2 2 JUN 2001	PTO USE ONLY
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$ 690.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ X ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
Claims	Number Filed	Number Extra	Rate		
Total Claims	17 -20 =	0	X \$18.00	\$	
Independent Claims	3 - 3 =	0	X \$80.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$820.00	
Reduction by 1/2 for filing by small entity, if applicable. See 37 CFR §1.27.				\$410.00	
<b>SUBTOTAL =</b>				\$410.00	
Processing fee of \$130.00 for furnishing the English translation later [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$410.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$410.00	
				Amount to be refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/>	A check in the amount of <u>\$410.00</u> to cover the above fees is enclosed.				
b. <input type="checkbox"/>	Please charge my Deposit Account No. 02-2135 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. <input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.				
d. <input type="checkbox"/>	Payment by credit card. (Form PTO-2038 enclosed.)				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Stephen A. Saxe Rothwell, Figg, Ernst & Manbeck 555 13th St., N.W. Washington, D.C. 20004 Phone: 202/783-6040			 Signature <u>Stephen A. Saxe</u> Name <u>38,609</u> Registration Number		

US National Phase  
of PCT/US99/30519  
**09/869082**  
JC18 Rec'd PCT/PTO 22 JUN 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Wei-Sing CHU

Serial No.: Not yet assigned

Group Art Unit:

Filed: Herewith

Examiner:

For: APPARATUS AND METHODS FOR  
EFFICIENT PROCESSING OF  
BIOLOGICAL SAMPLES ON SLIDES

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Prior to examination of the instant application, it is requested that the following amendments be made.

IN THE SPECIFICATION:

On page 1, between lines 3 and 5, please insert the following new paragraph:

--CROSS-REFERENCE TO RELATED APPLICATIONS

This application is filed under 35 U.S.C. § 371 based on PCT/US99/30519, filed 22 December 1999, which claims priority to U.S. Serial No. 09/219,443, filed 23 December 1998. This application claims priority to both PCT/US99/30519 and to U.S. Serial No. 09/219,443 which are incorporated herein in their entirety.--

IN THE CLAIMS:

Please cancel claims 1-19 and 37-42.

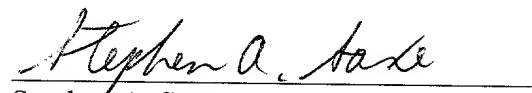
REMARKS

The specification is hereby amended to insert continuing data to claim priority to both PCT/US99/30519 and to U.S. Serial No. 09/219,443.

SEARCHED - CERTIFIED  
TO BE IN ORDER

The claims have been amended to cancel claims 1-19 and 37-42. Claims equivalent to 1-19 and 37-42 are pending in U.S. Serial No. 09/219,443.

Respectfully submitted,

  
\_\_\_\_\_  
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Attorney Docket No.: 2313-113  
Dated: 22 June 2001  
113.PAM

TITLE OF THE INVENTION

APPARATUS AND METHODS FOR EFFICIENT PROCESSING OF BIOLOGICAL SAMPLES ON SLIDES.

5 BACKGROUND OF THE INVENTION

This invention relates to an apparatus for processing biological samples on slides for a wide variety of purposes. Biological samples are analyzed for many purposes using a variety of different assays. Pathologists often use histochemistry or immunocytochemistry for analyzing biological samples, molecular biologists may perform *in situ* hybridization or *in situ* polymerase chain reactions on biological samples, etc. Often the sample to be analyzed will be embedded in paraffin and mounted on a microscope slide.

The assays usually involve the use of antibodies, enzymes and other expensive reagents and it is desirable to keep reagent volume use to a minimum to lower costs. These assays are also quite labor intensive although there are now some automated systems (e.g., the Ventana ESIHC Staining System, the Shandon Lipshaw Cadenza Automated Immunostainer; also see Brigati et al. (1988)). The publications and other materials used herein to illuminate the background of the invention or provide additional details respecting the practice, are incorporated by reference, and for convenience are respectively grouped in the appended List of References. Most automated systems can only perform 40 to 48 slides per run. Fisher automated systems can perform 120 slides per run. Most automated systems which only perform immunocytochemistry do not perform deparaffinizing, histochemistry (such as hematoxylin and eosin staining) and coverslipping steps and these consequently must be done separately by hand which is time and labor intensive. The automated systems perform only a small part of the overall process of preparing and analyzing slides. Steps which are still manually performed prior to the automated portion include sorting of cases and slides, labeling slides, programming the automated equipment, daily antibody and reagent preparation, preparing control tissue which is mounted on slides, and microwave antigen retrieval. Procedures still performed manually after the automated steps are dehydration, coverslipping, slide labeling and sorting of slides and cases. Furthermore, most commercial ready-to-use reagents are not suitable for automated systems which are required to use specially designed reagents. Laboratories which process large numbers of samples are likely to be willing to pay the high cost associated with buying these automated systems as well as the high cost of using the disposable accessories and reagents to perform the assays, but small

to intermediate sized laboratories find it more cost effective to continue to process samples manually.

A typical immunocytochemistry assay requires a series of many steps. These include: obtaining a biological sample such as from a biopsy, fixing the sample in formalin, processing 5 the sample overnight, embedding the sample in paraffin, cutting serial sections and mounting on microscope slides and drying. These steps are followed by steps to deparaffinize (treatments in xylene, ethanol and water), and finally the reaction can be performed on the sample which has been mounted on the slide. Typically a series of solutions including reagents such as enzymes, primary antibody, secondary antibody, detection reagent, chromogen, counterstain, etc. is 10 dropped onto the slide, incubated, and washed off. Finally the sample may be viewed under the microscope. Clearly there are many individual steps involved and each sample on a slide must be processed individually. Besides being very labor intensive, there are drawbacks associated 15 with the commonly used method of simply dropping solutions on top of the mounted sample on the microscope slide. The solution is not restricted simply to the area of the biological sample itself and the solution may be relatively deep rather than being a thin layer. These features require use of extra reagents which are quite expensive. Leaving the solutions open to the air as they sit on the slide also may lead to evaporation if the samples must incubate for a long period 20 of time. Evaporation leads to concentration or drying out of the reagents and high concentrations may lead to increased background levels which are clearly undesirable. If the solutions evaporate totally the assay will fail. Incubating samples in humidity chambers with covers may prevent evaporation problems, but water droplets which condense onto the humidity chamber cover may 25 fall onto the slides and this will ruin the assay.

Improved methods for more rapidly assaying several samples at once, but without the high cost of automated systems, will be welcomed by small to intermediate sized laboratories. Furthermore, methods which will allow use of smaller amounts of reagents and overcome the 25 drawbacks of processing samples on slides open to the atmosphere will be a welcome advance.

#### SUMMARY OF THE INVENTION

The present invention relates to an apparatus and methods for performing assays on 30 biological samples mounted on microscope slides. Use of the apparatus and/or methods aid in making assays more rapid and convenient. One aspect of the invention is the use of reagents

which are predried in the wells of the tray thereby simply necessitating the addition of water or buffer to the well without having to add the reagents at the time of assay. The well is then covered with a slide with a biological sample premounted on the slide. The different wells of a multiwell tray can be pretreated with different reagents dried in each well. Multistep assays can be performed by moving a slideholder with attached slides from one multiwell tray to the next, with each well of a multiwell tray having the desired reagents predried on it. A variation of this is to employ a multilayer coating of reagents in each well such that the first set of reagents dissolves quickly and acts upon the biological sample, the second layer then dissolves releasing the reagents for the second step, etc., thereby requiring the use of fewer trays, possibly only a single tray.

Another aspect of the invention is to have built in controls on each slide. This is a portion of the slide to which are attached positive and negative controls. These controls allow one to determine whether the assay has worked properly for each individual slide since each slide has its own set of controls and which simultaneously act as labels for each slide.

The invention is also directed to a coverslip with concave wells for holding reagents. The coverslip can be mounted onto a slide so that it will hold reagents for performing analyses but is easily removed to allow washing of the slide. The cover slip can include controls dried onto it for the assay.

Another aspect of the invention is automated processing of biological samples in a reaction chamber in conjunction with a coverslip which has reagents predried onto it and can optionally have control sample prespotted onto it.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 illustrates a slideholder 1 with slides 70. Slideholder 1 includes a handle 5 with holes 11. Openings 7 in the slideholder allow labels on slides 70 to be seen. Labels may also be attached directly to the slideholder 1 at region 15. Slides 70 are inserted into slots 56 of slideholder 1.

Figures 2A-B illustrate a tray 14 and slides 70. Figure 2A is a front elevational view of tray 14. Wells 24 are separated by troughs 38. Boundaries 44 of wells 24 are flat and are elevated above the interior portion of the wells 24. Trough 90 is contiguous with troughs 38.

Figure 2B is a cross sectional view of tray 14 taken along line 54-54 of Figure 2A. This view shows wells 24, troughs 38, and well boundaries 44. Slide 70 is shown resting on one well 24.

Figure 3 illustrates a slide 70 with a biological sample 220 and a stamp 230. The stamp shown contains reagents A-F.

Figure 4 illustrates a well 24 in which three reagents (indicated as 250, 260, and 270) have been dried and onto which has been placed a slide 70 with mounted biological sample 220. Layers of inert material separating the layers of reagents from each other are not shown.

Figures 5A-B illustrate one well of a multiwell tray 330 which is used to automate several steps of the procedure of assaying a biological sample in conjunction with a thermal cycler, pumps and a central processing unit. Figure 5A shows slide 70 with mounted biological sample 220 placed on a well or reaction chamber 280. Inlets 300 and 302 and outlets 294 and 296 which connect to reaction chamber 280 are illustrated. The portion of tray 330 which forms the bottom of the reaction chamber 280 is shown as 282. Optional stops 281 are shown which prevent the reaction chamber bottom 282 from pressing up against sample 220. The view in Figure 5A shows the reaction chamber bottom 282 in an "open" mode which causes the reaction chamber 280 to have a large volume. Figure 5B shows the tray and slide of Figure 5A in conjunction with other optional equipment. In Figure 5B the reaction chamber bottom 282 is in a "closed" mode such that reaction chamber 280 encompasses a smaller volume than seen in Figure 5A. Piston 284 to move reaction chamber bottom 282 is shown. The piston 284 is controlled by central processing unit 286. A thermal cycler 288 is illustrated pressed against slide 70. The thermal cycler can also be controlled by central processing unit 286. Tubing can be attached to the inlets 300 and 302 and to the outlets 294 and 296. Pumps 290 attached to the tubing are shown and pump liquid to or from reservoirs 291 or 292 or to gel 298.

Figures 6A-E illustrate a tray used to perform whole chromosome painting of multiple chromosomes on cells on a single slide or which can be used to perform in situ hybridization or FISH on a biological sample. Figure 6A illustrates an 8 well tray 400 with wells 410. Each well is separated from neighboring wells by troughs 420. Each well 410 has an opening or channel 430 through which liquid can be pipetted. Figure 6B is a side view of the 8 well tray 400 shown in Figure 6A. A slide 70 is shown on the tray 400. Four wells 410 are illustrated with three of the wells being empty and one shown filled with liquid. Openings 430 and troughs 420 are also illustrated. Figure 6C is an end-on view of the slide and tray of Figures 6A and 6B. Trough 420

is shown between two wells 410. Openings 430 into the wells 410 are shown. Slide 70 is shown resting above sides of tray 400 showing optional clips 402 to hold slide 70 to tray 400. Figure 6D is a schematic showing a slide 70 illustrating 8 regions 440 of the slide which will be in contact with each of the 8 wells 410. This is only illustrative, there being no need to actually denote these regions 440 on the slides used in practice. Figure 6E illustrates one manner of designing built-in controls on slide 70 by showing an enlargement of one region 440. Each region 440 has nucleic acids 442, which hybridize to the probes being used in the assay, placed in an array around the perimeter of region 440. These controls will be in contact with probe during the hybridization.

Figures 7A-H illustrate the processing of a biological sample on a slide in conjunction with a coverslip with concave wells.

Figures 8A-D illustrate processing of a biological sample on a microscope slide in conjunction with a coverslip.

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention is an integrated system for processing biological samples on microscope slides in a more rapid and efficient and less costly manner than is typical. Much of the background for this disclosure is shown in U.S. Patent 5,958,341 (W.-S. Chu; issued September 28, 1999) which is incorporated herein by reference. The numbering of parts used in this disclosure, if not shown in a Figure herein, refers to the numbering shown in Figures of U.S. Patent 5,958,341 (W.-S. Chu).

By a biological sample is meant a tissue section, biopsy, cell smear, nucleic acid, protein or peptide, chromosome, bodily fluid or other biological material commonly observed under a microscope. The system as illustrated in Figures 1 and 2A-B consists of a slideholder and a tray or a coverslip (see Figures 7A-H and 8A-D) for simultaneously holding multiple, preferably up to six, microscope slides to allow for concurrent processing of the multiple slides. The slideholder may be reusable.

In practice, a biological sample is mounted onto each of the slides to be analyzed. This often involves steps of fixing a biological sample in formalin, embedding the sample in paraffin, cutting thin, serial sections from the paraffin or from frozen tissue sections and mounting the sections onto the microscope slides. These are dried overnight at room temperature. The

mounted biological samples are subjected to some type of assay such as staining. For this the mounted samples must be placed in contact with a series of solutions with washing steps in between each different change of reagent. In the present invention the reagents are measured into or predried in each well 24 in the trays 14. Enough reagent or buffer is added to completely fill the well 24 such that the solution in the well 24 will contact the microscope slide 70 which is to be laid on top of the well 24. There should be no air bubbles present between the solution in the well 24 and the microscope slide 70. By exactly filling the well 24 or by slightly overfilling the well 24 so that there is a slight overflow once the slide 70 is placed on top of the well 24 (surface tension holding the top of the solution in the well 24 prior to a slide 70 being placed onto it) there is no problem with air bubbles forming. Capillary action of the fluid in the well 24 contacting the slide 70 allows for good contact between the biological sample and reagents across the complete well 24 area and helps to seal the well 24. Trays 14 may be designed to include a hook on one edge of a well boundary 44. This is shown in Figures 4C and 4F of U.S. Patent 5,958,341 (W.-S. Chu). By pushing all of the slides 70 against the hooks, all of the slides will be held against the well boundaries 44 and this will assure good contact with the reagents within the wells 24.

By placing the slides 70 onto the tray 14 in the above manner, the mounted biological sample is facing down into the well 24 and is not exposed to the atmosphere. This prevents extraneous material from falling into the reagent or onto the biological sample during incubation. Furthermore, the slide 70 covers the well 24 and helps to prevent evaporation of the reagent solution in the well 24 during incubation. Evaporation may lead to very bad background signals. The present invention helps to overcome this problem.

After incubation with each reagent the slideholder 1 and tray 14 are picked up and put into a standard staining dish with 500 milliliters of phosphate buffered saline (PBS) solution. Once in the PBS, the surface tension between the slides 70 and the tray 14 disappears and the slides are very easily removed from the tray. The slides are then put through the appropriate washing steps. It is a simple matter to pick up six slides 70 at once since they are all attached to a single holder 1. A standard staining dish in a laboratory is large enough to accommodate six slides 70 across (as attached to a single slideholder 1) and can contain 20 slideholders 1. Therefore 120 slides 70 may be washed and processed simultaneously.

The above methods are an improvement because they result in an enclosed assay system which helps to prevent contamination. Also, the enclosed system prevents evaporation resulting in a constant volume of reagent being present thereby resulting in a known amount of and constant concentration of reagents. These features lead to better and more consistent results than prior art methods, e.g., those wherein reagents are simply dropped on top of a tissue sample mounted on a slide and which is open to the atmosphere thereby allowing contamination and evaporation.

Another aspect of the invention is to predry reagents in wells 24 of trays 14 thereby requiring simply the immersion of the tray 14 and slides 70 into water or buffer or the pipetting of water or a buffer into the wells 24 at the time of assay. Trays 14 can be prepared which include a series of reagents predried in the wells 24 of a multiwell tray 14, e.g., each well 24 of a multiwell tray 14 can have a different set of reagents dried in the well 24. At the time of assay, slides 70 can have a biological sample from a single patient or from different patients mounted on them and be placed onto a single tray 14 to perform multiple assays at once. Such trays 14 with predried reagents can be prepared ahead of time and stored until the time of use. As currently practiced, assays performed on biological samples are performed by fixing a sample onto a slide and then dropping reagents onto the sample. Such a method cannot take advantage of premeasured, predried reagents which require only the addition of water or buffer. In the invention disclosed here, the reagents can be predried in a well 24 on a tray 14, buffer or water is added to well 24, and a slide 70 with biological sample mounted on it is placed on top of well 24, sample side down. The buffer or water may be added to well 24 via tubing after placing slide 70 on top of well 24. Having slide 70 over well 24 forms a sealed reaction chamber which prevents contamination and evaporation and also ensures uniform distribution of reagents as compared to dropping solution on top of a slide as is generally done in current practice.

Yet another aspect of the invention is to have built-in controls and/or labels on each slide. Known controls are immobilized onto each slide in a region apart from the biological sample. For example, the controls can be antigens, peptides, proteins or cells which are being tested for in the biological sample or can be a nucleic acid of known sequence if a hybridization assay is being performed. These would act as positive controls which should give a signal or color if the assay works properly. Negative controls can also be placed onto the slide, e.g., a protein or antigen or a nucleic acid which should not react with the reagents in the well. For example,

assume a person is to be tested for the presence of six antigenic determinants A-F. A six well tray can be used with each well containing a different antibody A'-F'. The six different antigenic determinants can be spotted onto all six slides. In all cases, only a single one of these controls should show as positive on each slide. Slide A should show only antigenic determinant A as a positive signal, slide B should show only antigenic determinant B as a positive signal, etc. These act as external controls. If more than one control shows as a positive, this indicates antibody cross reaction has occurred. If none of the controls is positive it indicates that the reaction did not work, e.g., a reagent may have been missing. The biological sample being tested acts as an internal control.

The external controls can be placed onto each slide by a variety of means. A preferred mode is to spot the reagents onto the equivalent of a postage stamp or sticker, which uses glue resistant to xylene and alcohol, which can then be glued onto each slide. Such a stamp or sticker can be made of any suitable material to which proteins, peptides, cells or nucleic acids bind tightly. This can include, but is not limited to, commonly used membranes such as nitrocellulose, plastic, glass or nylon. Specific examples of such membranous material are nitrocellulose itself, Immobilon-P (Millipore), Hybond-N, Hybond-N<sup>+</sup> and Hybond C-extra nitrocellulose (Amersham), Genescreen and Genescreen Plus (Du Pont), Clearblot-P (ATTO Co.) and polyvinylidifluoride membranes (Millipore or BioRad). The stamp or sticker will have regions A-F as shown in Figure 3. These stamps or stickers can be premanufactured and stored until ready for use, the antigenic determinants, proteins, peptides, cells or nucleic acids being dried onto the stamps or stickers. The name of the antigen, protein, cell, etc., can be printed on the stamp or sticker. This is especially suitable for mass production. Standard sets of assays can be premade such as a panel to test for breast cancer or a panel to test for Hodgkin's disease, but one can always design any combination of reagents as external controls as are desired. A stamp of controls can be attached to a slide either prior to a biological sample being placed upon the slide or it may be delayed until the biological sample has been fixed on a slide and been processed to the point at which reactions relevant to the controls are to be performed.

The stamps can be color coded or numbered to indicate a specific panel of tests to be performed. In like fashion the tray 14 can be color coded or numbered or otherwise marked to indicate the panel of tests to be performed, this being dependent upon the predried reagents in

the wells 24 of the tray 14. The stamp and the tray should match colors or numbers or other marking.

One other aspect of the invention is that reagents which are dried in wells 24 can be dried in layers in the reverse order which they are to act. When buffer is added the last added reagent will dissolve first and be active, followed by the next to last added reagent which acts in turn, etc. In this manner two or more reagents can be added to a single well 24 thereby allowing consecutive action of the reagents without the necessity of moving the slides 70 from one tray 14 to a second tray 14. For multistep reactions this will decrease the number of trays 14 which are necessary and also decreases the amount of labor involved.

Another aspect of the invention is a specially designed tray or chip which allows one to perform whole chromosome painting of all 24 human chromosomes on cells on a single slide.

Still another aspect of the invention is a tray and slide assembly wherein the volume of space in the well of the tray can be adjusted so that a small volume can be present to perform a reaction such as a PCR and then the volume of space can be increased to allow fluid to be pumped through the well.

Those of skill in the art recognize that the sample to be tested on the slide including the protein, peptide, DNA, RNA or cells or the control protein, peptide, DNA, RNA or cells on the stamp, must be immobilized so that they will not be released during the assay. The reagents which may have been predried in the tray, however, which reagents may include proteins, peptides, nucleic acids, etc., should be released, in a programmed order if multilayered, once the water or buffer has been added.

#### EXAMPLES

In each example a biological sample is first mounted onto a microscope slide 70 and then assayed. Surgical and autopsy human biological samples from various organs (lymph node, liver, kidney, lung, breast, skin, prostate) were routinely fixed in 10% neutral buffered formalin, processed overnight on a tissue processor, and embedded in paraffin. Serial sections are cut at 4-5 microns and mounted onto Probe-On-Plus Slides (#15-188-52; Fisher Scientific) and dried overnight at room temperature. Slides 70 are then inserted into a reusable slideholder 1. At this point all the slides 70 in a single holder 1 (up to six slides) can be handled simultaneously. The slides 70 are deparaffinized by placing the slides 70 in a staining dish with four changes of xylene

for 5 minutes each, two treatments of 100% ethanol for 1 minute each and two treatments of 95% ethanol for 1 minute each. The deparaffinized tissue section slides 70 are cleared and washed with deionized water.

5 The present invention is further detailed in the following Examples, which are offered by way of illustration and are not intended to limit the invention in any manner. Standard techniques well known in the art or the techniques specifically described below are utilized.

#### EXAMPLE 1

##### IMMUNOCYTOCHEMISTRY

10 In this Example a biological sample is treated with antibodies (primary and secondary), treated for chromogen color development, and finally counterstained.

###### A. Proteolytic pretreatment of mounted tissue samples

15 It is well known in the art that when using certain antibodies for immunocytochemical staining it is necessary to pretreat the formalin fixed tissue section with proteolytic enzymes such as 0.4% pepsin, pH 2.0. When this is necessary the following steps may be utilized. A few drops (150-200  $\mu$ L) of the proteolytic digestion solution are placed on each well 24 of the 3 or 6 well tray 14. The tissue side of the slides 70 is faced down on the wells 24. The slideholder 1 with the slides 70 should be slowly laid down and placed on the wells 24 of the tray 14. No air bubbles should remain between the tissue side of the slides 70 and the solution in the wells 24 of the tray 14. The slides 70, slideholder 1 and tray 14 with solution are incubated for 15 minutes at 40°C.

20

25 If many samples are being processed at one time it is more efficient to forgo use of the tray 14 during this proteolytic pretreatment step. The slides 70 are still placed into slideholders 1 six to a holder 1. The slideholders 1 and slides 70 are then placed vertically into a staining dish with 500 mL of the proteolytic digestion solution (which may be reused) and incubated for 20 minutes at 40°C in a water bath. Up to twenty slideholders 1 (120 slides) may be simultaneously placed into the staining dish for this pretreatment step.

30 Some antibodies require that the tissue section be pretreated with microwave antigen retrieval. Slideholders 1 (up to 20) with slides 70 are vertically placed into a staining dish with 500 mL of 0.01 M citrate buffer, the staining dish is placed in the center of a microwave oven, and the oven is turned to high power (800-850 Watts) for 7-8 minutes bringing the solution to

a rapid boil. The oven is turned off, the power level is reset to 400 Watts, and the oven is turned on again to heat the solution for 7-8 minutes.

After proteolytic digestion and microwave treatment the tissue sections are washed in the staining dish with three 500 mL changes of phosphate buffered saline (PBS).

5      B. Treatment of tissue sections with goat and horse serum

All slides 70, whether or not proteolytically digested and microwave treated, are incubated with 5% mixed normal goat and horse serum for 20-30 minutes at room temperature. Each well 24 of a tray 14 is filled (approximately 150-200 µL) with mixed normal goat and horse serum. The tissue side of the slides 70 is placed down on the wells 24 to contact the serum. The slideholder 1 should be slowly laid down so as to avoid trapping any air between the slides 70 and the wells 24. Again, if many samples are being processed at one time, it is more efficient to perform this step as a batch by placing up to 20 slideholders 1 vertically into a staining dish with 500 mL of 5% mixed normal goat and horse serum for 20-30 minutes.

C. Application of the primary antisera or antibodies

Following incubation with the serum, the slideholder 1 and slides 70 as well as the tray 14 are put into a staining dish with PBS. The tray 14 is separated from the slideholder 1 and both are washed once with PBS. The washed tray 14 may be reused for the next step. Prediluted primary antisera or antibodies (approximately 150-200 µL) are applied to each well 24 of the tray 14. The washed slides 70, still in the slideholder 1, are placed tissue side down onto the wells 24. As always care must be taken to avoid trapping bubbles between the slide 70 and the reagent solution in the wells 24. The samples are incubated with the antisera or antibodies for 2-4 hours at room temperature or incubated in a humidity chamber at 40°C for 2 hours or may be incubated in a humidity chamber at room temperature overnight. After incubation the slideholder 1 and attached slides 70 are removed from the tray 14 and are washed in a staining dish with PBS three times.

D. Application of the secondary antibody

Prediluted secondary antibody (approximately 150-200 µL) is applied into each well 24 of a new tray 14. The slides 70 in the slideholder 1 are placed onto the wells 24 tissue side down being careful to avoid bubbles. This is incubated for 30 minutes at 40°C in a humidity chamber. After incubation the slideholders 1 and attached slides 70 are removed from the tray 14 and washed in a staining dish with three changes of PBS.

E. Treatment for removal of endogenous peroxidase activity

All slideholders 1 with attached slides 70 are placed into a staining dish with 500 mL of PBS with 3% hydrogen peroxide and 0.1% sodium azide, and incubated at room temperature for 15 minutes. After incubation with the hydrogen peroxide PBS the slideholders 1 and attached slides 70 are washed in a staining dish with three changes of PBS.

F. Application of the ABC complex "ELITE"

The ABC complex (Vector Laboratories Inc., Burlingame, CA) is diluted to its working concentration using PBS. The working concentration (approximately 150-200 µL) is applied to each well 24 of a new tray 14. The slides 70 with attached slideholders 1 are carefully placed tissue side down onto the trays 14 so that no air bubbles are trapped between the solution and the slides 70. The slides 70 and trays 14 with ABC solution are incubated in the humidity chamber at 40°C for 30 minutes. After incubation the slideholders 1 with attached slides 70 are removed from the trays 14 and washed in a staining dish with 3 changes of PBS.

G. Chromogen Color development using diaminobenzidine (DAB)

DAB solution is prepared by adding 100 mg DAB to 100 mL PBS and adding 50 µL of 30% H<sub>2</sub>O<sub>2</sub>. Approximately 150-200 µL of the DAB solution is added to each well 24 of a new tray 14 to completely fill each well 24. The slides 70 with attached slideholders 1 are placed tissue side down onto the wells 24 being careful to avoid trapping air bubbles. Color development can be monitored by viewing the slideholders 1 and trays 14 with DAB under a microscope. A colored precipitate will form at the site of positive cells. Color begins to appear after 2-5 minutes, usually reaching sufficient development within 10 minutes, but a 20-30 minute incubation may be necessary for weakly stained samples. To stop development, all slideholders 1 with slides 70 are removed from the trays 14 and washed in a staining dish with three changes of deionized water.

H. Counterstaining

Slideholders 1 and attached slides 70 are immersed in Harris's hematoxylin for 10-50 seconds and washed by dipping into deionized water for three changes. Then all the slides 70 are immersed in 0.2% ammonium hydroxide solution for 30 seconds and washed by dipping in deionized water for 3 changes. The slides 70 are dipped into 95% ethanol for two changes of 2 minutes each, followed by dipping into 100% ethanol for 2 changes of 2 minutes each, and finally the slides 70 are cleared by dipping into two changes of xylene for 2 minutes each.

### I. Attachment of the Coverslip

Place 1 drop of Cytoseal 60 or premount on the tissue section side of each slide **70** with the slides **70** still attached to the slideholder **1**. Place coverslips onto each slide **70**. Although this may be done one by one, it is more efficient to use a specially designed coverslip which is actually six (or three) conjoined coverslips properly spaced to align with six (or three) slides **70**. Using this special coverslip, up to 6 individual coverslips are effectively aligned and placed onto slides **70** simultaneously. The coverslips are easily separated from the plastic strip holding them together simply by bending the coverslip which is prescored to allow the strip to snap apart from the coverslips which remain bound to the slides **70**. At this point the slides **70** may be removed from the slideholder **1** to be handled individually, or they may be left attached to the slideholder **1** for ease of transportation .

Figures 10-12 of U.S. Patent 5,958,341 (W.-S. Chu) show the results of a study comparing the use of the present invention with staining methods simply using the standard manual method of dropping reagents onto the surface of a slide-mounted tissue sample and leaving the reagents open to the atmosphere for incubation. The Figures show that the results obtained with the two methods are extremely comparable with the results obtained using the present invention being at least as good as, and apparently better than, the results obtained using the traditional method. The present invention however allowed these results to be obtained with less work and with the use of smaller amounts of reagents.

Comparing the two methods, the background staining is significantly reduced by using the present invention, especially when using polyclonal antibodies (anti-kappa light chain antibodies and anti-lambda light chain antibodies). The invention significantly improves the staining results by reducing the background. Background is partially due to free FC fragments which precipitate by gravity and bind nonspecifically to the tissue. The present method inverts the slide such that the tissue is above the solution and therefore free FC fragments cannot precipitate by gravity onto the tissue.

## EXAMPLE 2

IN SITU HYBRIDIZATION

In this example biological samples are mounted onto slides 70, hybridized with biotin or digoxigenin labeled probes and reacted with anti-biotin or anti-digoxigenin antibody. The samples are then stained.

## A. Preparation and mounting of tissue sample

A tissue sample is prepared as described above but with extra measures to prevent nucleic acid degradation. A tissue sample is fixed in 10% neutral buffered formalin, processed overnight on a tissue processor, embedded in paraffin, cut into serial sections of 4-5 microns, mounted onto Probe-On-Plus Slides (#15-188-52; Fisher Scientific), and dried overnight at room temperature. The slides 70 are inserted into a slideholder 1 and are deparaffinized by placing into a staining dish. The slides 70 are treated with four changes of xylene for 5 minutes each, two changes of 100% ethanol for 1 minute each and two changes of 95% ethanol for 1 minute each. The deparaffinized tissue section slides are then cleared and washed with deionized water with RNase Block (BioGenex, San Ramon, CA).

## B. Proteinase K treatment of the mounted tissue samples

Approximately 150-200 µL of freshly diluted proteinase K solution is placed into each well 24 of a tray 14 to completely fill each well 24. The microscope slides 70 (still in the slideholder 1) are placed onto the wells 24 with the tissue side down. The slides 70 are placed onto the wells 24 carefully so as to avoid the presence of air bubbles between the solution in the wells 24 and the slide 70. This is incubated for 15 minutes at room temperature.

After digestion, the slideholders 1 with slides 70 attached are removed from the tray 14 and washed in a staining dish with 500 mL of PBS with RNase Block for 5 minutes. The tissue section slides 70 are dehydrated by immersing in a staining dish serially in the following solutions: 500 mL distilled water plus RNase Block for 10 seconds, 500 mL 50% ethanol plus RNase Block for 10 seconds, 500 mL of 95% ethanol for 10 seconds, and 500 mL 100% ethanol for 10 seconds. The slides 70 are dried at room temperature for 5 minutes.

## C. Hybridization with biotinylated or digoxigenin labeled probes

Trays 14 with shallow wells 24 (0.02-0.08 mm in depth) may be used here to conserve materials. Hybridization solution containing a biotinylated or digoxigenin labeled oligonucleotide probe is placed into each well 24 of a tray 14. Enough solution is added to each

well **24** to completely fill the well **24**. This requires approximately 50-100 µL of solution. The slides **70** are placed on top of the wells **24** (3 or 6 at a time still attached to the slideholders **1**) being careful not to trap any air bubbles. The trays **14** plus slideholders **1** and slides **70** are placed in an oven or on a heating block at 95°C for 8-10 minutes to denature the nucleic acids.

5 This step eliminates hair-pin loops or folding back of mRNA sequences. After the denaturation step, the slides **70** are incubated in a humidity chamber at 45°C overnight. Following the hybridization step, the slides **70** are washed by removing the slideholders **1** with attached slides **70** from the trays **14** and washing the slides **70** in a staining dish with 2 X SSC (standard saline citrate) at 37°C for 5 minutes followed by a wash with 1 X SSC at 37°C for 5 minutes. This is  
10 followed by a 30 minute wash in 0.2 X SSC at 60°C. Finally the slides **70** are washed with 2 changes of PBS for 2-5 minutes each.

#### D. Signal detection

The slideholders **1** with attached slides **70** are placed vertically into a staining dish with 500 mL of 5% mixed normal goat and horse serum at room temperature for 20 minutes. Prediluted mouse anti-biotin or mouse anti-digoxigenin antibody (150-200 µL) is applied to each well **24** of a new tray **14**. The slides **70** are placed onto the wells **24** of the tray **14** taking care to avoid trapping bubbles. The slides **70** and trays **14** with antibody are incubated in a humidity chamber at 40°C for 2 hours.

20 After incubation with the anti-biotin or anti-digoxigenin antibody, the slideholders **1** with slides **70** are removed from the trays **14** and washed in a staining dish with three changes of PBS.

#### E. Application of the secondary antibody

Prediluted secondary antibody (approximately 150-200 µL) is applied into each well **24** of a new tray **14**. The slides **70** in the slideholder **1** are placed onto the wells **24** tissue side down being careful to avoid bubbles. This is incubated for 30 minutes at 40°C in a humidity chamber.  
25 After incubation the slideholders **1** and attached slides **70** are removed from the tray **14** and washed in a staining dish with three changes of PBS.

#### F. Treatment for removal of endogenous peroxidase activity

All slideholders **1** with attached slides **70** are placed into a staining dish with 500 mL of PBS with 3% hydrogen peroxide and 0.1% sodium azide, and incubated at room temperature for  
30 15 minutes. After incubation with the hydrogen peroxide PBS the slideholders **1** and attached slides **70** are washed in a staining dish with three changes of PBS.

#### G. Application of the ABC complex "ELITE"

The ABC complex is diluted to its working concentration using PBS. The working concentration (approximately 150-200 µL) is applied to each well 24 of a new tray 14. The slides 70 with attached slideholders 1 are carefully placed tissue side down onto the trays 14 so that no air bubbles are trapped between the solution and the slides 70. The slides 70 and trays 14 with ABC solution are incubated in the humidity chamber at 40°C for 30 minutes. After incubation the slideholders 1 with attached slides 70 are removed from the trays 14 and washed in a staining dish with 3 changes of PBS.

#### H. Chromogen color development using diaminobenzidine (DAB)

DAB solution is prepared by adding 100 mg DAB to 100 mL PBS and adding 50 µL of 30% H<sub>2</sub>O<sub>2</sub>. Approximately 150-200 µL of the DAB solution is added to each well 24 of a new tray 14 to completely fill each well 24. The slides 70 with attached slideholders 1 are placed tissue side down onto the wells 24 being careful to avoid trapping air bubbles. Color development can be monitored by viewing the slideholders 1 and trays 14 with DAB under a microscope. A colored precipitate will form at the site of positive cells. Color begins to appear after 2-5 minutes, usually reaching sufficient development within 10 minutes, but a 20-30 minute incubation may be necessary for weakly stained samples. To stop development, all slideholders 1 with slides 70 are removed from the trays 14 and washed in a staining dish with three changes of deionized water.

#### I. Counterstaining

Slideholders 1 and attached slides 70 are immersed in Harris's hematoxylin for 10-50 seconds and washed by dipping into deionized water for three changes. All the slides 70 are immersed in 0.2% ammonium hydroxide solution for 30 seconds and washed by dipping in deionized water for 3 changes. The slides 70 are then dipped into 95% ethanol for two changes of 2 minutes each, followed by dipping into 100% ethanol for 2 changes of 2 minutes each, and finally the slides 70 are cleared by dipping into two changes of xylene for 2 minutes each.

#### J. Coverslipping

Place 1 drop of Cytoseal 60 or premount on the tissue section side of each slide 70 with the slides 70 still attached to the slideholder 1. Place coverslips onto each slide 70. Although this may be done one by one, it is more efficient to use a specially designed coverslip which is actually six (or three) conjoined coverslips properly spaced to all line up with six (or three) slides

70. Using this special coverslip, up to 6 individual coverslips are effectively aligned and placed onto slides 70 simultaneously. The coverslips are easily separated from the plastic strip holding them together simply by bending the strip which is prescored to allow the strip to snap apart from the coverslips which remain bound to the slides 70. At this point the slides 70 may be removed 5 from the slideholder 1 to be handled individually, or they may be left attached to the slideholder 1 for ease of transportation.

## EXAMPLE 3

PCR IN SITU HYBRIDIZATION

10 Polymerase chain reaction (PCR) was developed as an *in vitro* method for amplifying small amounts of specific pieces of nucleic acids. This was later adapted to *in situ* studies so that there was amplification of nucleic acid within tissue sections. The apparatus of the present invention is suited to performing these *in situ* PCRs. An example of a PCR *in situ* hybridization protocol is given in Nuovo (1994).

A. *In situ* PCR

Serial tissue sections are cut at 4-5 microns thickness, mounted onto Probe-On-Plus slides 70, and dried overnight at room temperature. The mounted tissue sections are deparaffinized and digested with pepsin at 40°C for 15-90 minutes depending on the length of time of fixation in formalin. The pepsin is inactivated by washing the slides 70 in diethylpyrocarbonate (DEPC) treated water for one minute followed by a one minute wash in 100% ethanol. The slides 70 are 20 then air dried.

Polymerase chain reaction solutions are made according to any standard procedure. See, e.g., K. B. Mullis et al., U.S. Patent No. 4,800,159. Combine buffer, 5' and 3' primers, water, Taq polymerase (AmpliTaq, Perkin Elmer) (or other thermophilic polymerase) and Self-Seal 25 Reagent (MJ Research, Inc.) in a total volume of 20-50 µL. Apply the 20-50 µL of solution to a well 24 of a specially designed *in situ* PCR aluminum tray 14. The trays 14 to be used in Example 1 are preferably made of a disposable plastic material, but the trays 14 used for PCR studies must be capable of being cycled through a series of temperatures which may reach 95-100°C. Therefore it is necessary for such trays 14 to be heat resistant (i.e.. they should not 30 melt or otherwise be destroyed by high temperatures) and also to be good conductors of heat. Aluminum is a preferred material from which to manufacture these trays 14. These aluminum

trays **14** have wells **24** which are 0.005-0.03 mm in depth and hold approximately 20-50 µL of solution.

After completely filling each well **24** of the aluminum tray **14**, the slideholder **1** and attached slides **70** are placed on top of the tray **14** with the tissue section facing down so as to contact the solution in the well **24** upon which it is placed. Care must be taken to avoid air bubbles being present between the solution and the slide. The slideholder **1**, slides **70** and aluminum tray **14** are then placed onto a block of a thermal cycler at 95°C for 3-5 minutes to denature the nucleic acids in the tissue. Twenty to thirty cycles are then performed cycling between 60°C for 2 minutes and 94°C for 1 minute.

Following the cycling steps, the slideholder **1**, slides **70** and aluminum tray **14** are placed vertically into a staining dish with 2 X SSC at 37°C for 5 minutes. The slideholder **1** is removed from the aluminum tray **14** and washed with 0.5-1 X SSC at 37-60°C for 10-30 minutes (depending upon background). In situ hybridization is performed as described in Example 2 using a biotinylated or digoxigenin labeled probe chosen internal to the primers.

#### B. Reverse Transcriptase In Situ PCR

Serial tissue sections are cut at 4-5 microns thickness, mounted onto Probe-On-Plus slides **70**, and dried overnight at room temperature. An important aspect of the RT in situ PCR is that both negative and positive controls be performed and it is preferred that these be performed on the same glass slide. The positive control omits the DNase digestion step and should generate an intense nuclear signal from target specific amplification. DNA repair and mispriming. The negative control uses a DNase treatment plus primers that do not correspond to a target in the cells. The test sample undergoes DNase treatment but uses primers specific to the desired target nucleic acid. The mounted tissue sections are deparaffinized and digested with pepsin at 40°C for 15-90 minutes depending on the length of time of fixation in formalin. The pepsin is inactivated by washing the slides **70** in diethylpyrocarbonate (DEPC) treated water for one minute followed by a one minute wash in 100% ethanol. The slides **70** are then air dried.

Digest two of the three mounted tissue sections with RNase-free DNase by filling each well **24** of a plastic tray **14** (requiring approximately 150-200 µL) with prediluted RNase-free DNase and placing the slides **70** (in the slideholder **1**) tissue side down on top of the well **24** being careful that air bubbles are not trapped and that contact is made between the solution in the well **24** and the tissue sample. Incubate overnight at 37°C. Inactivate the RNase-free DNase

with a 1 minute wash in DEPC water and a 1 minute wash in 100% ethanol. Let the slides 70 air dry.

The reverse transcription is performed using the EZ RT PCR system (Perkin Elmer). The RT/amplifying (RT-PCR) solution contains EZ rTth buffer, 200  $\mu$ M each of dATP, dCTP, dGTP and dTTP, 400  $\mu$ g/mL bovine serum albumin, 40 Units RNasin, 0.8  $\mu$ M of 5' and 3' primers, 2.5 mM manganese chloride, 5 Units of rTth, and 2X concentrated Self-Seal Reagent (MJ Research, Inc.). Twenty to fifty  $\mu$ L of the RT-PCR mixture is placed into each of three wells 24 in a specially designed *in situ* PCR aluminum tray 14 (the depth of the wells 24 is approximately 0.005-0.03 mm) to fill the wells 24. The slides 70 are carefully placed onto the wells 24 with the tissue being placed in contact with the solution inside of the well 24. The slides 70, slideholder 1 and aluminum tray 14 are placed onto a block of a thermal cycler at 65°C for 30 minutes followed by a denaturation step at 94°C for 3 minutes. Twenty to 30 cycles are performed, each cycle being 60°C for 2 minutes followed by 94°C for 1 minute.

Following the cycling steps, the slideholder 1, slides 70 and aluminum tray 14 are placed vertically into a staining dish with 2 X SSC at 37°C for 5 minutes. The slideholder 1 is separated from the aluminum tray 14 and washed with 0.5-1 X SSC at 37-60°C for 10-30 minutes (depending upon background). *In situ* hybridization is performed as described in Example 2 using a biotinylated or digoxigenin labeled probe chosen internal to the primers.

Those of skill in the art recognize that amplification schemes other than PCR are now well known and widely used and can be used in place of PCR. These include ligation amplification (or ligase chain reaction, LCR) and amplification methods based on the use of Q-beta replicase. Also useful are strand displacement amplification (SDA), thermophilic SDA, and nucleic acid sequence based amplification (3SR or NASBA). See, e.g., U.S. Patents 4,683,195 and 4,683,202 and Innis et al. (1990) for PCR; Wu and Wallace (1989) for LCR; U.S. Patents 5,270,184 and 5,455,166 and Walker et al. (1992) for SDA; Spargo et al. (1996) for thermophilic SDA and U.S. Patent 5,409,818, Fahy et al. (1991) and Compton (1991) for 3SR and NASBA.

#### EXAMPLE 4

##### WELLS WITH MULTILAYERED DRIED REAGENTS

Assays can be performed with a single reagent predried in a well 24 and if the use of several reagents is required, the slide 70 with biological sample can be moved from a first well

24 with the first reagent to a second well 24 with the second reagent, etc., wherein the various wells 24 can either be on the same or on separate trays 14. Alternatively, more than one reagent may be predried in a well 24. The reagents can be dried in layers with the outermost layer being the first reagent to be used. This is demonstrated in Figure 4 which shows a slide 70 with cells or tissue section 220 placed over a well 24 into which has been predried in order: a secondary antibody 270, a primary antibody 260, and a protein blocking reagent 250. In this manner, different reagents are separated and dry stored thereby preventing reaction until the addition of water or buffer to the well. Upon addition of water (if salts are predried in the well) or buffer to the well, the protein blocking agent 250 will dissolve first since it was in the final layer of reagents predried in the well 24. Next the primary antibody 260 will dissolve and finally the secondary antibody 270 will dissolve and be able to react. Such a system allows all three steps to occur without the necessity of moving the slides 70 from one tray 14 to another tray 14 or from one well 24 to another well 24. For a different type of assay, for example one which requires a series of four reagents, one may either predry all four reagents in reverse order of action in a single well 24 or it may be found that the use of two trays each with two reagents or one tray with three reagents and a second tray with either the first or fourth reagent works better, for example when a wash step is needed between the step or steps of the first tray and the step or steps of the second tray. Other variations on these schemes are obvious to one of skill in the art. Any such combination requires less manual labor than the use of four separate trays. Especially in the field of pathology for which the types of assays to be performed are well standardized, such a system is quite amenable to mass production of trays with predried reagents which can then be stored until time of use. This system is not limited to the use of antigen/antibody reactions but can also be used for other reactions, e.g., enzymes can be dried in the wells, nucleic acid hybridization can be performed with different probes dried in the wells, a fluorescent probe can be the dried reagent, biotin can be dried in the well, etc.

To prepare wells with multiple layers of different reagents, it is preferred to include layers of inert material between the layers of reagents. For example, a well may be coated with reagents as follows. A secondary antibody is coated onto a well and allowed to dry. On top of this is coated a high concentration of an inert material (i.e., a material not necessary for any of the reactions and which will not interfere with the reactions) such as bovine serum albumin, gelatin, sucrose, fetal calf serum, starch, agarose or other inert material. This is allowed to dry. It is

preferred that the inert material be added in several layers, e.g., gelatin in solution is added, allowed to dry, then more gelatin in solution is added, allowed to dry, etc. This can be performed as often as desired, the number of layers affecting the delay time until the release of the secondary antibody. Five such coatings on top of the secondary antibody has been found to give good results with a delay of about 15-20 minutes until the release of the secondary antibody from the time this inert layer begins to dissolve. On top of this first layer (or multilayer) of inert material is coated a primary antibody which is allowed to dry. On top of the primary antibody is coated a second layer or multilayer of inert material. This can be a low concentration of bovine serum albumin, gelatin, fetal calf serum, starch, agarose or other inert material. Three coatings of this second inert layer has been found to yield good results with a delay time of about 10 minutes until the release of the primary from the time the second inert layer begins to dissolve. On top of the second inert layer is coated a protein block such as horse and goat serum. The protein block is allowed to air dry. The multilayers of inert material take time to dissolve thereby giving each reaction enough time to occur prior to the next layer of active reagent dissolving.

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The limitation of this system is that it can only be used for a series of steps which do not require a wash step in between successive steps. For example, if reaction with a primary antibody is followed by reaction with a secondary antibody, the secondary antibody must be washed off prior to the detection step. Therefore the detection reagent cannot be predried in the same well as the secondary antibody. Similarly, if one step requires heating (e.g., denaturation of a nucleic acid probe) this cannot be combined with a reagent which is heat inactivated or destroyed.

#### EXAMPLE 5

##### BUILT-IN CONTROLS AND AUTOMATIC LABELS - IMMUNOASSAYS OR ISH/FISH

When assays are performed in a clinical setting, controls are required by the Food and Drug Administration. Having built-in controls on the very slides being assayed is an excellent manner in which to test the controls. If the control is on a completely different slide, the control is not as good because it cannot indicate whether there was a problem such as reagent not contacting the biological sample on either the control or the actual test sample or missing a step of adding a reagent to either the control or the test sample. Also, the reagents dropped onto the control sample may accidentally be different from those dropped onto the test sample by a human

or by machine error, especially when several tests are being performed simultaneously. When the control is on the same slide as the test sample, such problems will be indicated by controls, but if the control is a section of normal or neoplastic tissue it is very labor intensive and time consuming to prepare the control sample.

Figure 3 illustrates a slide 70 onto which a tissue slice 220 has been fixed and also illustrates a separate region of slide 70 onto which has been affixed a stamp or sticker 230 (e.g., a piece of nitrocellulose or other membrane or plastic or glass type matrix glued onto the slide 70) with six distinct regions A-F, although the use of a stamp or sticker is not essential, e.g., the controls can be directly coated onto the slide 70. Each region of A-F has been spotted with, e.g., a distinct antigenic substance or nucleic acid, depending on the type of assay being performed, although these substances can be applied directly to a region of the slide 70 in lieu of using a stamp or sticker 230. Six separate assays are to be performed using a six well tray. Each well 24 will have a reagent A'-F' which reacts, respectively, with A-F. Control A should be positive only on the slide 70 placed onto well 24 with reagent A' and should be negative for the remaining 5 wells. Control B should be positive only on slide 70 placed onto the well 24 with reagent B' and should be negative for the other 5 wells, etc. The stamps or stickers 230 with these external controls can be premade commercially for mass sale or they can be custom made. It is also useful if a stamp or sticker 230 for a common clinical panel of assays is color coded or otherwise labeled so that a quick glance is indicative of the assays being performed. This color code or other labeling can also be matched to the color code or other labeling of trays 14 to be used in conjunction with the stamp, e.g., a green stamp will have antigenic determinants A-F on it and a green tray will have antibodies A'-F'. A numbering or lettering system can be used as one alternative to a color coding scheme. These could be used for a series of tests for breast cancer whereas a red stamp and red tray could indicate those to be used to assay for Hodgkin's disease. Any type of color coding, such as a series of stripes of colors, can be used. Such color coding will result in fewer errors being made in the clinical laboratory. The use of the positive control on each slide also acts as an automatic labeling system for the slide since the positive external control is indicative of the assay performed for that slide. If desired, the stamps can be packaged with their corresponding trays and can even be placed onto each tray when packaged and then peeled from the tray and placed onto a slide at the time of use. The use of such stamps or stickers with controls on them is much simpler and less time consuming than preparing a control

biological sample, e.g., a tissue section of normal or neoplastic tissue, to be used as such a control.

As an example, a breast panel of assays can be performed in which six distinct diagnostic markers are used. These diagnostic markers can be cytokeratin 7, cytokeratin 20, ER, Bcl-2, PR, and cathepsin D. Each of these antigenic determinants can be coated onto a stamp or sticker to be used as controls and the corresponding antibodies can be predried on separate wells of a 6 well tray. If cytokeratin 7 or an equivalent antigenic determinant is placed on position A of the stamp or sticker, then antibody against cytokeratin 7 is to be placed in well A'. Section A of the stamp or sticker should be positive on the slide placed on well A' but should be negative on the other 5 wells. Also, only section A of the stamp should be positive on the slide 70 placed on well A', while sections B-F of the stamp or sticker should be negative. This results in the automatic labeling of the slide by the built-in control. If section A is not positive or if any of sections B-F are positive on this slide it means that a problem has occurred and the test should not be relied upon.

Other examples of panels which may be used are a panel of prognostic markers for breast cancer such as Ki-67, Her-2/neu (c-erbB-2), P53, pS<sub>2</sub>, EGFR, and Factor VIII. Other neoplasms, e.g., prostate, bladder and colon can also use the same prognostic panel tray. In general pathology practice, four panel trays can cover 90-95% of diagnoses of all hemopoietic diseases: 1) A Hodgkin's disease panel may include the markers LCA (CD45), L26 (CD20), CD3, Leu-M1 (CD15), Ki-1 (CD30), and LMP. 2) A non-Hodgkin's panel can include L26 (CD20), CD3, MT1, Bcl-1, Bcl-2, Ki-1 (CD30). 3) A separate non-Hodgkin's panel can include Kappa, Lambda, UCHL-1 (CD45RO), CD5, CD23, and CD10. 4) A leukemia panel can include L26 (CD20), CD34, MPO, Lyso, TdT, and DBA44. Any other desired panel of tests can be similarly performed, such as but not limited to, panels for undifferentiated tumor of unknown primary site, sarcoma classification, lymphoma vs. carcinoma vs. melanoma, adenocarcinoma vs. mesothelioma, hepatocellular/cholangiocarcinoma vs. metastatic carcinoma, pituitary panel, Paget's disease vs. melanoma vs. squamous cell carcinoma vs. fibrous histiocytoma, breast panel, and bladder vs. prostate carcinoma. Yet other possible panels are a neuroendocrine panel, small round cell tumor, germ cell tumor, Hodgkin's vs. non-Hodgkin's lymphoma, lymphoma vs. reactive hyperplasia, plasma cell dyscrasia, leukemia panel and a virus panel.

Each laboratory can devise its own system which is most appropriate to the personnel and to the number and types of assays being performed. For example, if an assay requires use of a first set of antibodies followed by reaction with a secondary antibody wherein the secondary antibody is identical for all samples, then if a small number of assays are to be performed one may do these on the trays 14, but if a large number of assays are being performed one may prefer to place all the slides into a large tank with the secondary antibody and/or detection system (a "batch" or "bulk" incubation method. Alternatively, for the lab doing a small number of assays, it is possible to coat a piece of filter paper with the secondary antibody and/or detection system, lay all the slides onto the filter papers and wet the filter paper at the time of use. This can be less expensive than using the trays. Similarly, nucleic acid probes can be placed onto the filter paper.

#### EXAMPLE 6

##### BUILT-IN CONTROLS - NUCLEIC ACID HYBRIDIZATION

In a manner similar to that discussed in Example 5 for immunoassays, built-in controls can be used for nucleic acid assays such as ISH or fluorescent in situ hybridization (FISH). In one type of FISH, fluorescent probes are used which illuminate large portions of the chromosomes. This is referred to as whole chromosome painting (WCP). This technique is useful for observing gross chromosomal aberrations such as translocations. The probes used can be in conjunction with a variety of different colored fluorophores. For example, probes to chromosome 1 can fluoresce orange, probes to chromosome 2 can be made to fluoresce green and probes to chromosome 3 can use a red fluorescing fluorophore. It is therefore possible to stain for all three chromosomes simultaneously and still be able to easily distinguish them from each other. In human cells, there can be up to 24 distinct nuclear chromosomes, these being chromosomes 1-22, X and Y. If three different fluorophores are used, all 24 chromosomes can be studied by using only 8 different tissue sections or 8 different sets of cells. These can be studied on 8 separate slides or if desired several tissue sections or sets of cells can be placed on separate sections of a single slide. It is possible to place 8 tissue sections on a single slide and thereby study all 24 chromosomes on a single slide with all reactions being performed simultaneously using 8 different sets of three mixed probes. These can be tested on a single cell smear slide by placing the slide on a tray or chip with 8 separate wells wherein each well has had predried in it a different set of 3 probes. Using microarray techniques, 24 built-in controls will

be directly coated on the slide such that they will surround, within the inner borders, each well region (see Figure 6E). One of skill in the art recognizes that it is not necessary to use 8 sets of 3 probes. Other variations are possible such as 6 sets of 4 differently labeled probes. It is also not necessary to use trays with predried reagents, rather the reagents can be added to the trays in liquid form. In a similar fashion, other techniques, such as in situ hybridization, can be performed using a desired number of controls which have been directly coated onto the slide in the region surrounding the inner borders of the wells. Although the controls have been shown as placed on the slide so as to surround the edges of the wells, such a pattern is not required and other patterns of arranging the controls can be used so long as they are in a region which contacts the reagents in the wells.

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#### EXAMPLE 7

##### AUTOMATED MULTIWELL TRAY AND MACHINE

Analysis of biological samples is very labor intensive, even with the use of automated systems since the automated systems still require several steps to be performed manually. A multiwell tray, or a multiwell tray with predried reagents, attached to tubing and a pump or pumps or connected to an automated processing machine can be used to partially or completely automate the processing of biological samples. Such a multiwell tray can be similar in design to the tray 14 discussed earlier. But the automated multiwell tray 330 (see Figures 5A-B) is used for steps such as washing or with less expensive reagents which can be used in larger amounts.

The reaction chamber 280 of the automated multiwell tray 330 is designed to hold volumes such as 0.01-1 mL, although this amount is not critical and can be larger or smaller. The well includes one or more inlets and one or more outlets to accommodate tubing. The tubing entering an inlet is attached to a pump. A slideholder 1 with attached slides 70 is placed on top of the automated multiwell tray 330 and fluids can be pumped into the reaction chambers 280 through an inlet such as 300 or 302. Reagents can be recirculated during the reaction time and reused if desired (e.g., as shown in Figure 5B) by using a pump 290 and tubing 295 through inlet 302 in conjunction with tubing 310 through outlet 294. Alternatively one can send the used material directly to a waste container 291 or a sink or to be analyzed, such as on a gel or by other instrumentation, via outlet 296. Circulated reagents can reduce incubation or reaction time and reduce background. The concentration of circulated reagents also can be gradually increased or decreased to reach

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the optimal reactive condition, especially when using multiple probes. This is especially applicable when a soft bottom tray is used which allows the use of varied volumes.

A central processing unit 286 controls the pumping of reagents and can open and close valves on various pieces of tubing attached to a pump so that one pump can control several different reagents or alternatively multiple pumps can be used all controlled by the central processing unit. With this setup, a slideholder with slides and mounted biological samples can be placed onto a multiwell tray, the central processing unit can be activated to pump desired fluids and reagents into the reaction chambers either recirculating the fluids or disposing of the fluids directly. Different reagents can be pumped into the reaction chamber sequentially without the need of a person transferring the slides from one tray to another tray. For example, slides with biological samples can be placed onto the automated multiwell tray and the system can pump in the reagents: xylene, 100% ethanol, 90% ethanol, hydrogen peroxide, a secondary antibody, detection reagents (ABC), diaminobenzidine, hematoxylin, PBS wash solution between each step, and the further 90% ethanol, 100% ethanol and xylene and a coverslapping solution. The slides can be removed from the automated multiwell tray for any desired intervening steps for which it is desirable to have the reaction performed on a regular multiwell tray 14 as described earlier.

As another example, slides with a mounted tissue section can be deparaffinized and treated separately and then placed onto a multiwell tray which has predried reagents and then be attached to the automatic processing machine which will pump in the desired reagents, e.g., secondary antibody, detection reagents (ABC), diaminobenzidine and hematoxylin as well as PBS wash buffer between each of these steps, followed by 90% ethanol, 100% ethanol, xylene and a coverslip solution.

The use of the automated multiwell tray has several advantages. It allows several steps to be done in succession with no manual labor required at each step. It also is safer because some dangerous chemicals, e.g., xylene and diaminobenzidine which are carcinogens, can be pumped directly from a container into the reaction chamber and from there into a waste receptacle or a receptacle from which the reagents can be reused without the need of a person pipetting these reagents into wells and handling the trays with these carcinogens on them. Recycling of such reagents using the prior art method of simply dropping reagents on top of biological samples mounted on slides is impracticable. Therefore the automated multiwell tray reduces exposure

to hazardous chemicals, makes it easy to dispose of hazardous chemicals, and also reduces use of such chemicals because they can be reused and recycled.

The central processing unit 286 can also control heating and cooling of a heat block 288 to perform automated *in situ* PCR or to denature a probe being used for *in situ* hybridization. 5 PCR reagents, including biotin or digoxigenin if desired, and primer sets can be coated and dried onto the wells of the tray 330. The slide 70 with sample 220 is placed onto the tray 330 and water or buffer is added. The heating block 288 can be placed against the slide 70 (as shown in Figure 5B) or the tray 330 or can be one designed to contact both sides of the slide plus tray assembly and can be controlled by the central processing unit 286. Two results can be obtained 10 from each well 410. First, fluid from a well 410 can be removed and assayed on a gel 298 to determine whether a band of DNA is seen. The size of any such band can also be determined on the gel 298. This acts as a control to see whether the PCR has worked successfully. This is possible because a large fraction of the amplified DNA does not remain in the cells of the sample but leaks out to the fluid in the well. Second, a fraction of the amplified DNA remains in the cells and this can be observed by detecting the biotin or digoxigenin by methods well known to those of skill in the art. Thus an *in situ* PCR shows which cells are detected by the assay. 15

The present invention also uses a novel modification which allows one to recover the reaction fluid and to assay this fluid, prior to continuing the work-up of the tissue sample, to determine whether the PCR has worked properly or has been contaminated. This assay is extremely quick and simple, e.g., simply running the reaction fluid on an agarose gel and looking 20 for the presence of a specific band size. In the event that one determines that the PCR did work properly, then it is worth continuing the workup of the tissue sample. However, if it is determined that the PCR failed, one knows that it is not worth the labor and expense of continuing with the particular sample.

25 The above noted ability to assay the reaction fluid is useful not only for determining whether it is worth continuing to workup the specific sample, but this ability also yields data not available from viewing only the *in situ* hybridization results within the tissue. When *in situ* hybridization is performed, some fraction of amplicons remains where it was amplified while the rest ends up in the solution. By assaying the portion in solution, one can determine not only a relative amount of nucleic acid, but one is also able to determine the size of the amplified nucleic acids. When one views only the tissue sample one cannot determine the size product which is 30

formed, one learns only that some nucleic acid was amplified and one also learns which cells were expressing the nucleic acid. These two sets of data are complementary. It is apparent that the present invention allows one to view both sets of results with the data of both being complementary. To date no apparatus has been available which had allowed one to obtain both  
5 types of data from a single polymerase chain reaction.

A further aspect of the invention is that the volume of the reaction chamber **280** is adjustable. Preferably a central processing unit **286** controls a piston **284** which pushes against reaction chamber bottom **282** which is either flexible or movable. This movement adjusts the volume of space in the reaction chamber **280**. For example, when performing in situ PCR, it is  
10 desirable to keep the reaction volume very small, e.g., 10-50  $\mu$ L. Following the PCR reaction it may be desired to pump the reaction fluid out of the reaction chamber. However, such a small volume of fluid will be held between the slide **70** and reaction chamber bottom **282** by capillary action. By allowing the reaction chamber to be enlarged to encompass more fluid, it becomes easier to accomplish the desired pumping. Those of skill in the art recognize that a variety of means can be used to adjust the volume of the reaction chamber **280**. It is not necessary to use a piston controlled by a central processing unit. For example a screw means can be placed against the reaction chamber bottom and by turning the screw means the screw means will press against the tray bottom to force the bottom of the reaction chamber toward the microscope slide to reduce the volume of the reaction chamber **280**. Reversal of this process again enlarges the  
15 volume.  
20

#### EXAMPLE 8

##### WHOLE CHROMOSOME PAINTING

Chromosomes can be examined for gross abnormalities such as translocations by a  
25 technique known as whole chromosome painting. This method uses a number of fluorescently labeled probes which bind to a chromosome effectively to "light up" the whole chromosome. Sets of probes specific for each chromosome can be used to study any desired chromosome. Humans have a total of 24 nuclear chromosomes, these being chromosomes 1-22, X and Y. It is common to paint multiple chromosomes at one time. The chromosomes are easily  
30 distinguished by using fluorescent probes of different colors. For example, chromosomes 1, 2 and 3 can be stained simultaneously by using probes which fluoresce orange for one

chromosome, probes which fluoresce green for a second chromosome, and probes which fluoresce red for a third chromosome. Using such a system, one test would typically use 8 slides of cells to examine the complete nuclear genome of a human. This test would include the placing the 8 slides onto 8 wells of a tray. One example of tissue to be assayed is a blood or bone marrow smear. The probes can be predried in the wells if desired.

A chip or tray **400** designed to allow the analysis of all 24 chromosomes on a single slide **70** is presented here. The tray **400** is one which can snap on to or otherwise be attached to a microscope slide **70**. The chip or tray **400** contains 8 wells **410** with each well **410** separated from neighboring wells **410** by a gap or a trough **420**. Such a tray **400** is illustrated in Figure 6A. Each well **410** in the tray **400** has a narrow opening **430** through which reagents can be added to the wells **410**.

In practice, cells to be examined are dropped or spread across a microscope slide **70**. The slide **70** is then attached to the tray **400** such that the cells are facing the wells **410** of the tray **400**. Reagents are then added to each well **410** individually through the opening **430** in the tray to each well **410**. The reagents will spread between the well **410** and the slide **70** by capillary action. Different reagents specific for the various chromosomes are added to each well **410**. The gap or trough **420** between wells **410** prevents the reagents from one well **410** spreading to a neighboring well **410** thereby preventing cross-contamination. The wells **410** hold a predetermined amount of fluid, e.g., 10-20  $\mu$ L each, and capillary action allows only enough buffer to be added to fill the wells **410** without causing excess overflow. This aids in preventing cross-contamination. Three different chromosomes can be assayed in each well **410** using, e.g., orange, green and red fluorescent probes thereby allowing all 24 human nuclear chromosomes to be assayed on a single slide **70**.

In a preferred embodiment, the probes are predried onto the 8 wells **410** of the tray **400** with probes for 3 different chromosomes in each well **410**. If desired, other reagents such as salts can also be predried into each well **410**. Metaphase or interphase cells are fixed across a slide **70** and the slide **70** is placed in contact with the tray **400**. Then buffer is added to the openings **430** to each well **410**. With this method, there is no necessity to pipet the different reagents into each well **410**, rather the same buffer is added to all wells **410** thereby preventing the possibility of pipetting incorrect reagents (human error) into wells **410**. The predried probes and salts dissolve upon addition of buffer to the wells **410** and hybridization is allowed to occur. A typical

incubation may be at 70-90°C for 1-2 minutes to denature the probes as well as the cellular DNA followed by an incubation at 37-45°C for approximately 2 hours, although it is common to perform incubations for anywhere from 30 minutes to overnight. The hybridization buffer can be chosen as desired with several buffer systems commonly used in the art. For example 2 X 5 SSC is commonly used. Formamide is sometimes added to the buffer. In a preferred embodiment, following incubation the tray 400 can be placed onto a blotting material, e.g., paper towels, and the reaction fluid in the wells 410 will be physically removed from the wells 410 by capillary action, the blotting material soaking up the hybridization fluid. This prevents cross-contamination between wells 410 when the slide 70 is separated from the tray 400.

10 In a more preferred embodiment, the slide 70 includes positive and negative controls in the regions 440 which are those which are in contact with the hybridization fluid in each of the 15 8 wells 410. Using microarray technology which has become quite popular recently, nucleic acids which are complementary to the probes being used to paint the chromosomes are coated and immobilized onto the slide 70, preferably prior to placing cells upon the slides 70. This may best be performed under industrial conditions and the slides 70 can be sold with the controls built in. It is preferred that 24 controls 442 are placed onto each slide 70 at all 8 regions which are to 20 be in contact with hybridization buffer. One example of an array is shown in Figure 6E in which all 24 nucleic acids are arrayed around the edges of each region 440 which will contact each of the 8 wells 410. If for example, a first region 440 is one which will contact a well 410 containing probes for chromosomes 1, 2 and 3, then the control nucleic acids for these chromosomes should light up after staining (each showing only a single color) while the remaining 21 controls should not hybridize and should not fluoresce. In this manner there are both positive and negative controls and labels for each of the 8 wells 410.

25 One of skill in the art recognizes that other similarly designed trays can be utilized. There is no need for an 8 well tray. For example, if 4 differently colored fluorescent probes are to be used, the same results could be obtained with a 6 well tray. Furthermore, this invention is not limited to the analysis of human chromosomes. Chromosomes from any other organism can be similarly examined and the number of wells on the tray is a matter of personal choice, often determined by the number of chromosomes or probes to be examined. One of skill in the art also 30 recognizes that trays can be designed to hold more than a single slide such that multiple cell

samples can be assayed at once, with the multiple slides being handled together more easily than several separate slides.

#### EXAMPLE 9

##### COVERSHEET WITH CONCAVE WELLS

Rather than using a method of simply dropping reagents onto biological samples mounted onto a slide or placing the slide onto a tray with wells which are filled with reagents, a slide or series of attached slides can be covered with a coversheet wherein the coversheet is concave thereby comprising one or more wells. This is illustrated in Figures 7A-E which illustrates samples on six slides being analyzed simultaneously. Figure 7A shows slides **510** with mounted biological samples **520** held in slideholder **515**. Figure 7B illustrates a coversheet **500** which is to fit over the slides **510** of Figure 7A. Insert **540** discussed below may include writing **501** which can display information. Regions **502** and **503** are positive and negative controls, respectively. Controls **502** and **503** can be, e.g., protein, nucleic acid or a cell line, depending upon the specific type of assay being performed. Channels to allow the inlet of liquids and the outlet of air are shown as **504** and **505**. The well **530** is also illustrated. The coversheets **500** can also be labeled with a barcode, shown in Figure 7B as **506** or can have text written on them.

Figure 7C shows coversheet **500** placed onto slides **510**. The coversheet **500** is placed onto the slide **510** with mounted biological sample **520** and is affixed to the slide **510** at the top portion of the coversheet **500**. The slide **510** and coversheet **500** are then dipped into water, buffer or reagent. Capillary action will cause the liquid to rise into the well **530** of the coversheet **500**. Surface tension will hold the coversheet **500** securely to the slide **510**. This results in an enclosed system with a known volume and concentration of reagent.

Figure 7D illustrates the results after reaction has occurred and the coversheet **500** has been removed. The biological samples **520** and the positive controls **502** are shown as being stained.

In a preferred aspect of the invention, the coversheet **500** has had reagent or reagents predried onto it. When a coversheet **500** with predried reagent is placed onto a microscope slide **510** with biological sample **520**, the slide **510** and coversheet **500** are merely dipped into water or buffer thereby causing liquid to fill the well **530** of the coversheet **500** and dissolve the dried reagent. The slide **510** and coversheet **500** are then removed from the water or buffer and the reaction is allowed to proceed. Known amounts of reagent or reagents are predried thereby

resulting in precisely known amounts of reagents within the well **530** and thereby in contact with the biological sample **520**. The volume of the well **530** is also known thereby resulting in a known concentration of reagent.

In another preferred aspect of the invention, the coverslip **500** is attached to the slide **510** by gluing an insert **540**, e.g., glass or plastic, to the slide **510** using a glue which is resistant to both organic and aqueous liquids. This is illustrated in Figures 7E-H for a single slide and coverslip for a single slide. Coverslip **500** including well **530** with channels **504** and **505** is placed onto insert **540**. Figure 7F illustrates insert **540** which includes positive **502** and negative **503** controls, writing **501** to identify the insert **540**, and a region of water-soluble glue **542**. The upper portion of the coverslip **500** is thereby glued to the insert **540** using a glue which is water soluble. Controls **502** and **503** are located such that they are within the well **530** region of the coverslip **500**. The back side of insert **540** is placed against and affixed to slide **510** by means such as a glue which is resistant to both organic and aqueous solutions. The slide **510** plus coverslip **500** is dipped into buffer and removed and the reaction is allowed to proceed. The slide **510** plus coverslip **500** can then be processed by placing into tanks of reagents or wash solution. Aqueous solutions will cause the water soluble glue to dissolve thereby releasing the coverslip **500** but not the insert **540**. The coverslip **500** is easily removed at this point. Insert **540** remains on slide **510** as a control and label.

In a further aspect of the invention, the slides **510** have control samples **502** and **503** affixed to them. The controls **502** and **503** can either be spotted onto the slides **510**, be on pieces of paper or stamps which are glued to the slide **510**, or they can be on the insert **540**. These control samples, which can be positive controls, negative controls, or both (affixed as separate spots) are used to determine that the reactions have worked properly. If the controls **502** and **503** are affixed to the insert **540**, they are affixed at a point which will not be covered by glue and which overlaps the well **530** of the coverslip **500** so that the control samples **502** and **503** are in contact with buffer and reagents.

The inserts **540** can be premade with controls **502** and **503** and then used when needed. These inserts **540** can further include writing to indicate the names of the controls **502** and **503** and whether they are positive or negative.

The coverslips **500** can also be labeled and may include bar codes **560** for easy or automated reading. Coverslips **500** with predried reagents are easily stored and are ready for use

making their use very convenient. Use of coverslips **500** with predried reagents further means that pipetting of small, accurate amounts of reagents is not required at the time of analysis thereby allowing faster analysis of the biological samples.

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## EXAMPLE 10

AUTOMATED METHOD FOR PROCESSING BIOLOGICAL SAMPLES ON SLIDES

A method similar to that of Example 9 can be automated such as by using a reaction chamber as illustrated in Figures 5A-B. One difference is that the coverslip to be used in the automated procedure need not include a well but can be flat. Figures 8A-D illustrate the method.

10 Slides **600** with biological samples **610** are placed into slideholder **620**. Coverslip **630** includes region **640** which can contain written information. Control samples **650** and **660** can be included on the coverslip **630**. The coverslip **630** can also include a barcode **670** or can include text written on it. Slides **600** with biological samples **610** are placed into a reaction chamber, e.g., as shown in Figures 5A-B, for processing with organic reagents to deparaffinize the samples **610**.

15 In a preferred embodiment, several slides **600** are placed into a single slideholder **620** as shown in Figure 8A. After deparaffinizing the samples **610** and washing, reagents can be added to the reaction chamber. In a preferred embodiment, coverslip **630** is placed into the reaction chamber together with slides **600**. This is illustrated in Figure 8C which shows both the coverslip **630** and slideholder **620** with slides **600**, although the reaction chamber is not illustrated. Coverslip **630**

20 preferably has reagent predried onto it, preferably in region **680**. Addition of water or buffer dissolves the reagent which then reacts with biological sample **610** as well as with control samples **650** and **660**. Following reaction, wash solutions can be passed through the reaction chamber. Upon completion of the wash, the coverslip **630** can be pushed against slides **600** which are removed together from the reaction chamber and are kept together, i.e., the coverslip **630** acts as a permanent coverslip unlike the coverslip **500** in Example 9. Figure 8D shows the coverslip **630** mounted onto slides **600** with the biological samples **610** and positive controls **650** being positive.

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The preferred method of predrying known amounts of reagent onto the coverslip **630** allows for very quick and easy use in a clinical laboratory. The reagents need not be measured or pipetted. Instead a coverslip **630** is simply dropped into a reaction chamber together with the slide **600** with biological sample **610** and the reaction is allowed to proceed. Furthermore, the

coverslip 630 can include positive and negative controls prespotted on to it thereby allowing for simple analysis of whether the reaction has worked properly.

\* \* \*

Use of the above methods allows one to obtain results of a whole panel of markers in as little as 15-30 minutes. Thus the results can be obtained while the patient is still in the operating room. The pathologist and surgeon can decide immediately whether to perform more surgery or if chemotherapy or radiation treatment is necessary. This can allow the surgeon to proceed immediately rather than having to perform more surgery at a later date. If the currently sold automated system were used instead of the methods of the instant invention, it would take longer to receive results, partially because the currently sold automated system does not assay one patient at a time but rather many samples are loaded into the automated instrument at one time and it is necessary to wait while they are all loaded and then processed. The currently sold automated system drops reagents on top of slides and the biological sample is not always completely covered, whereas the present method of placing a biological sample on top of a well filled with reagents ensures that the whole sample is in contact with reagent.

The above Examples are only exemplary and not meant to be limiting of the techniques which may be performed using the apparatus which is defined by the present invention. The invention is applicable to, but not limited to, immunohistochemistry, *in situ* hybridization, *in situ* PCR, and fluorescent *in situ* hybridization (FISH). The stated measurements are also exemplary and not meant to be limiting as it will be obvious to one of skill in the art that the exact measurements are not critical and can be varied to still yield successful results. Those skilled in the art will readily perceive other applications for the present invention.

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- U.S. Patent No. 5,958,341

WHAT IS CLAIMED IS:

1. A method for treating a biological sample with reagents, said method comprising the steps of:
  - (a) mounting said biological sample onto a microscope slide,
  - (b) inserting said microscope slide into a slideholder, said slideholder being capable of holding a plurality of slides,
  - (c) predrying at least one reagent in a well on a tray,
  - (d) placing said microscope slide in said slideholder on top of said well to allow said biological sample to contact said reagents in said well, and
  - (e) adding water or buffer to said well to dissolve said reagent.
2. The method of claim 1 wherein more than one reagent is predried in said well and wherein said more than one reagent is dissolved upon addition of water or buffer.
3. The method of claim 2 wherein reagents are dried sequentially in reverse order of action.
4. The method of claim 3 wherein said reagents are separated from each other by an inert material.
5. A method of assaying a biological sample on a slide wherein one or more external controls are placed on said slide and processed simultaneously with said biological sample for one or more steps of said method.
6. The method of claim 5 wherein said external controls are on a membrane which is placed on said slide.
7. The method of claim 5 wherein said slide is placed on a well containing one or more reagents which react with said biological sample.
8. A slide comprising a biological sample and an external control.

20. A coverslip for a microscope slide wherein a portion of said coverslip is concave thereby enclosing a known volume when placed onto a microscope slide.

21. The coverslip of claim 20 further comprising reagents dried thereon.

22. A combination of the coverslip of claim 20, a microscope slide and an insert sandwiched between a portion of said coverslip and said microscope slide.

23. The combination of claim 22 wherein said insert comprises a control sample.

24. The coverslip of claim 20 wherein said coverslip is labeled with a barcode or text.

25. A method of performing an assay on a biological sample on a microscope slide, comprising:

- a) placing a biological sample on a microscope slide;
- b) placing the coverslip of claim 20 on said microscope slide;
- c) allowing water, buffer or reagent to flow into the known volume between said microscope slide and said coverslip; and
- d) allowing a reaction to occur.

26. The method of claim 25 wherein said coverslip comprises a reagent predried onto it.

27. A method of performing an assay on a biological sample on a microscope slide, comprising:

- a) placing a biological sample on a microscope slide;
- b) placing said microscope slide of step (a) into a reaction chamber for processing;
- c) placing a coverslip into said reaction chamber; and
- d) allowing a reaction to occur.

28. The method of claim 27 wherein said coverslip comprises a reagent dried on it prior to placing said coverslip into said reaction chamber.

9. A membrane comprising a plurality of control materials.
10. The membrane of claim 9 wherein said control materials are selected from the group consisting of antigens, peptides, proteins, nucleic acids and cells.
11. The membrane of claim 9 wherein said membrane comprises said control materials on a first face of said membrane and a substance on a second face of said membrane wherein said substance causes said membrane to adhere to a slide when contacted with said slide.
12. The membrane of claim 9 wherein said membrane is adhered to a slide.
13. A kit comprising a membrane of claim 9 in combination with a multiwell tray.
14. The kit of claim 13 wherein said multiwell tray comprises predried reagents.
15. The kit of claim 13 wherein a reagent added to a well of said multiwell tray reacts with a control material on said membrane.
16. A tray comprising a well wherein said well comprises a reagent to be used for an assay of a biological sample and wherein said reagent is dried in said well prior to performing said assay.
17. The tray of claim 16 wherein more than one reagent is dried in said well.
18. The tray of claim 17 wherein different reagents are dried in said well in a manner in which they dissolve sequentially after addition of water or buffer to said well wherein a first acting reagent dissolves prior to a second acting reagent dissolving.
19. The tray of claim 18 wherein said reagents are separated from each other by an inert layer.

29. The method of claim 27 wherein said coverslip comprises a control sample placed onto it prior to allowing said reaction to occur.
30. The method of claim 27 wherein said coverslip comprises a barcode or text.
31. A multireaction chamber machine comprising one or more inlets and one or more outlets into each reaction chamber of said machine.
32. The multireaction chamber machine of claim 31 in combination with a heating block.
33. The multireaction chamber machine of claim 31 in combination with a pump wherein tubing connects said pump to said inlet.
34. The multireaction chamber machine of claim 33 in combination with a central processing unit which controls said pump.
35. The multireaction chamber machine of claim 31 in combination with means to vary volume of space in one or more reaction chambers of said machine.
36. The multireaction chamber machine of claim 35 wherein said means comprises a central processing unit.
37. A method of performing *in situ* hybridization on a biological sample in combination with *in situ* nucleic acid amplification wherein said biological sample is contacted with a solution comprising reagents for performing nucleic acid amplification, if necessary said solution is cycled through temperatures sufficient to allow nucleic acid amplification to occur, and then said biological sample is analyzed for *in situ* hybridization and a sample of said solution is analyzed for amplified nucleic acid.

38. A method of treating a biological sample on a slide with a reagent wherein
  - i) said reagent is coated on a piece of filter paper; and
  - ii) said biological sample on said slide is placed in contact with said filter paper.
39. A tray to be contacted to a slide wherein said tray comprises multiple wells wherein each well contacts only a portion of said slide and wherein each well is separated from neighboring wells by a gap or trough.
40. A method of performing in situ hybridization using said tray of claim 39.
41. The method of claim 40 wherein nucleic acid probes are predried in wells of said tray.
42. The method of claim 40 wherein said slide comprises control nucleic acids prearranged on said slide.

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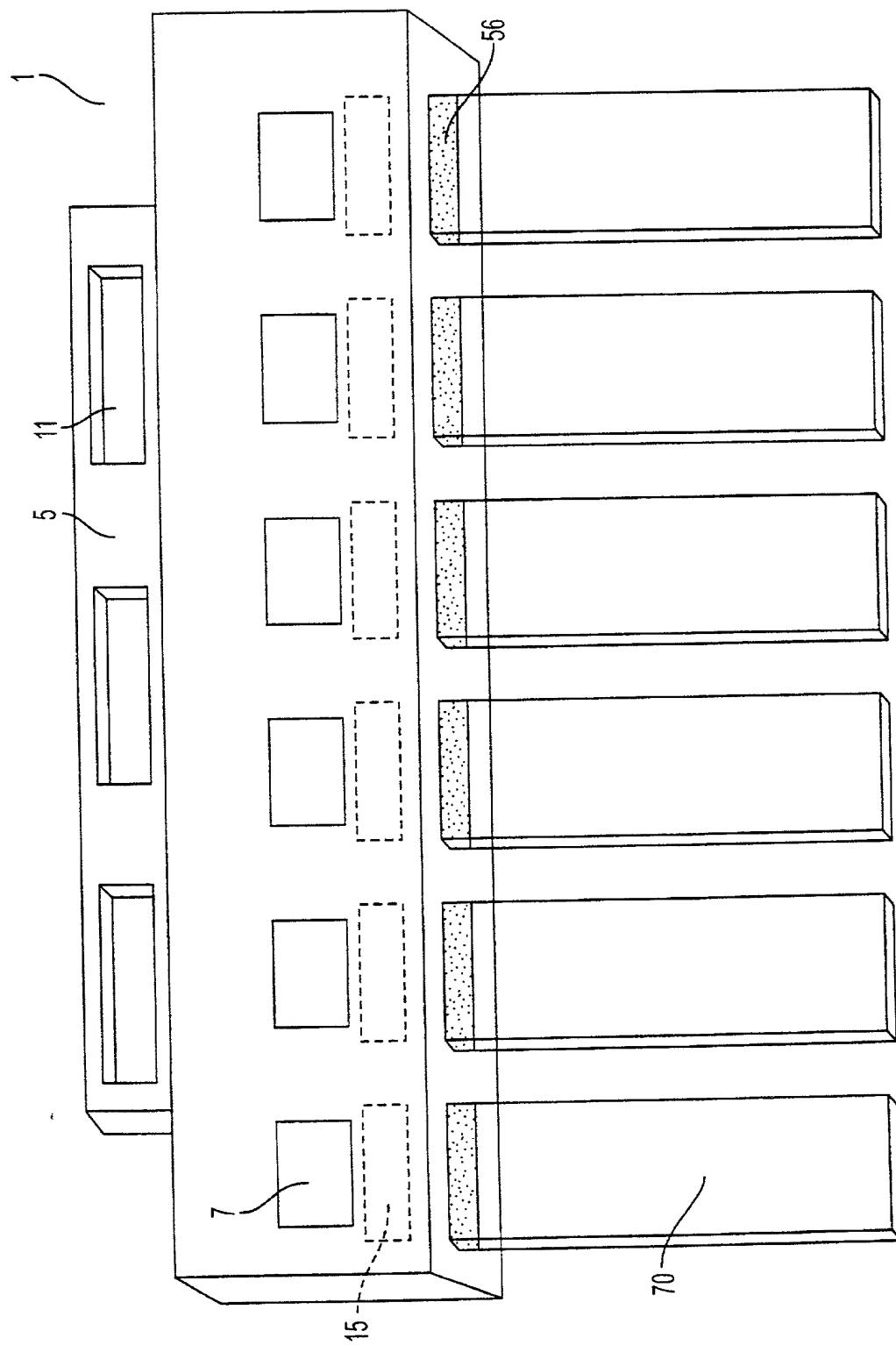
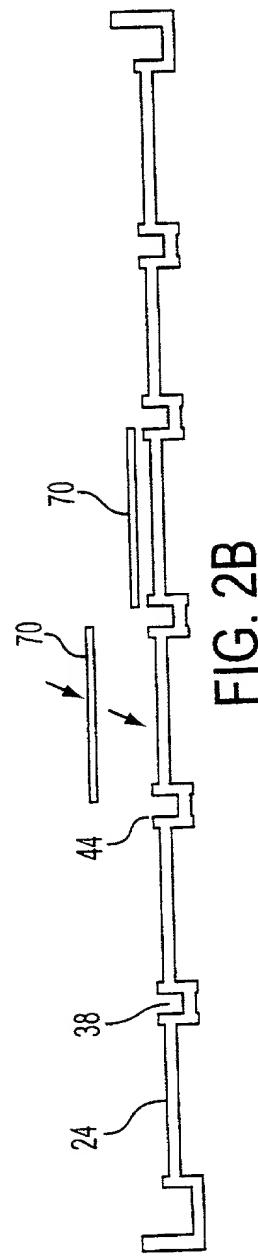
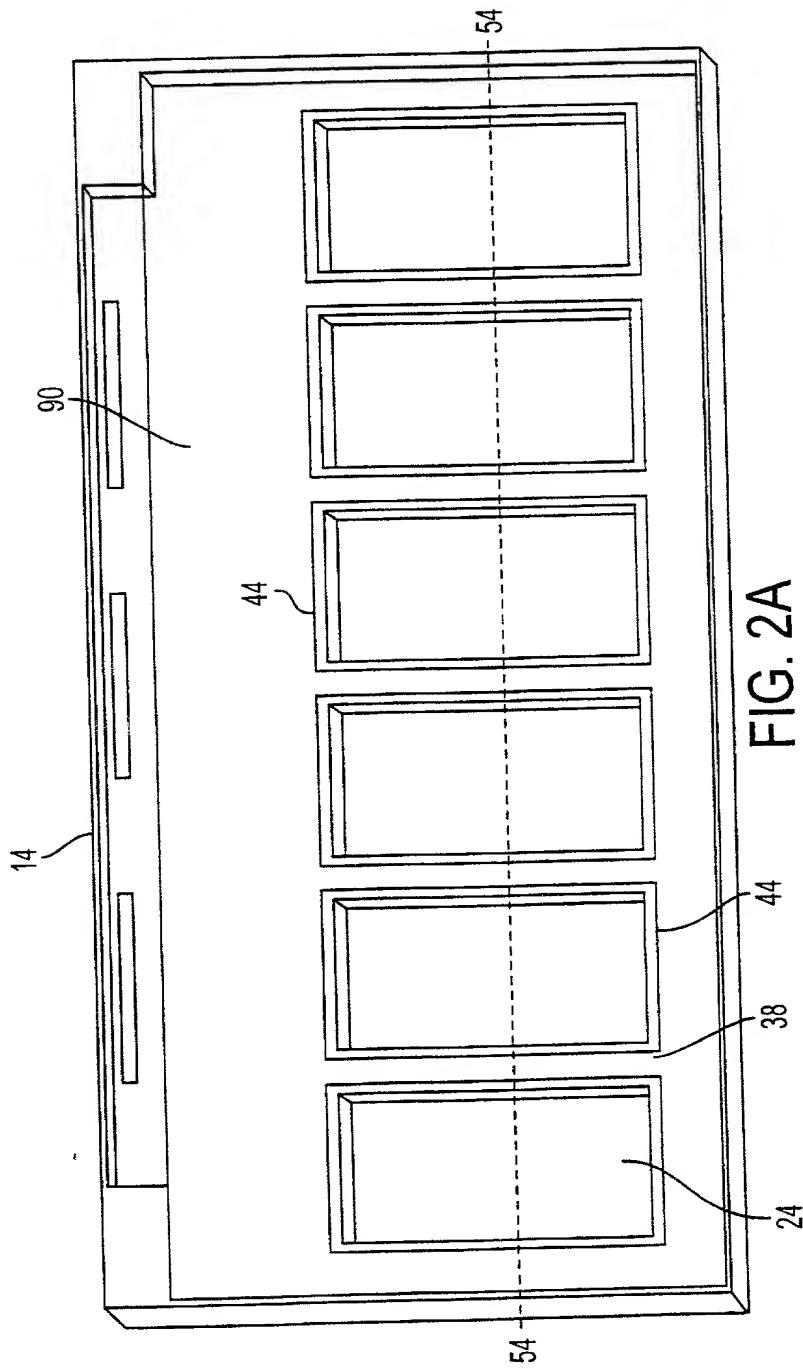


FIG. 1

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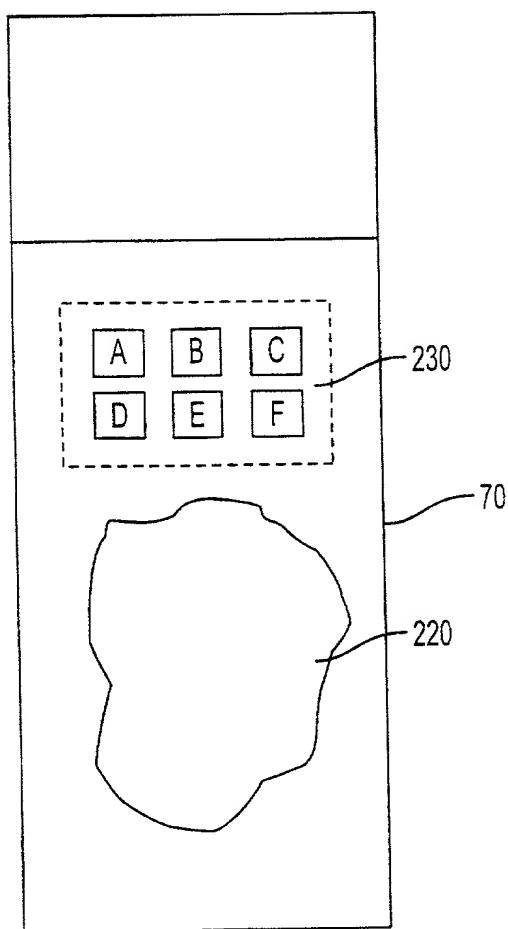


FIG. 3

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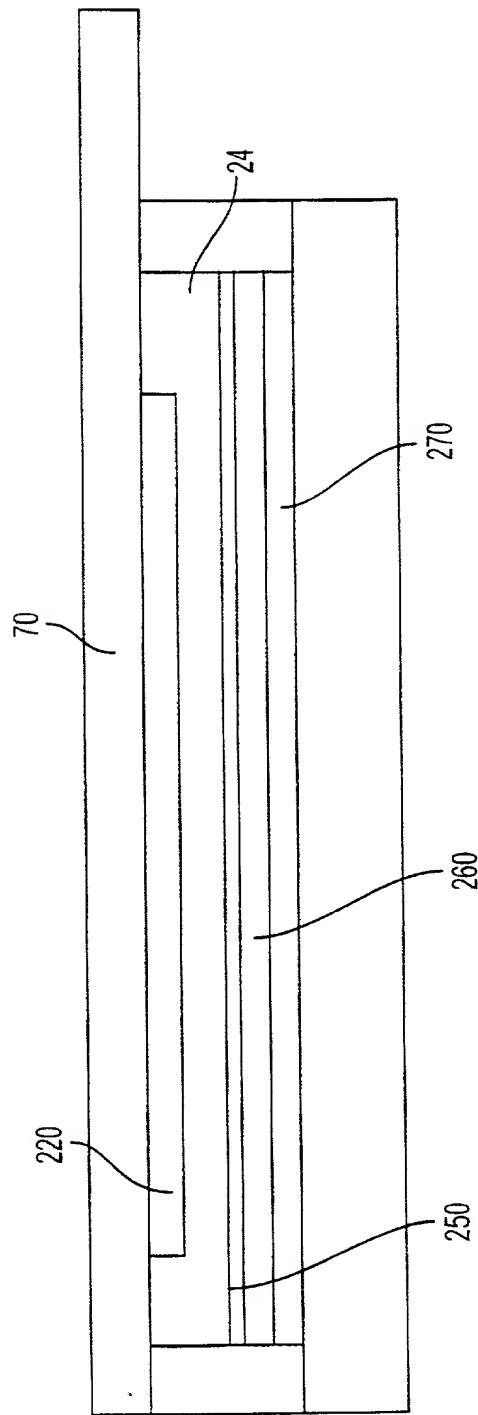


FIG. 4

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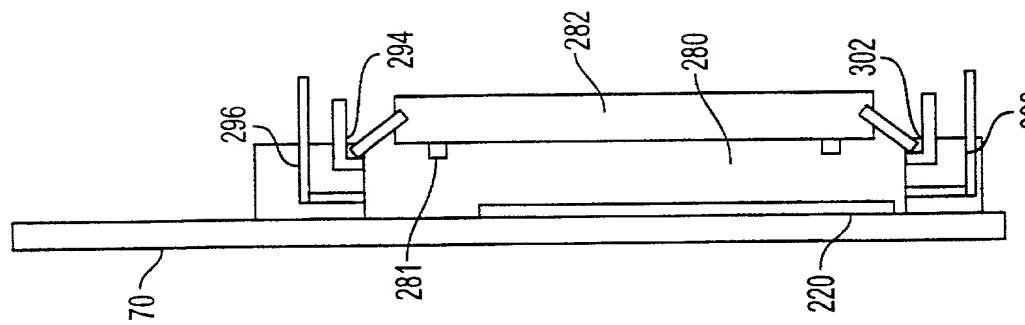


FIG. 5A

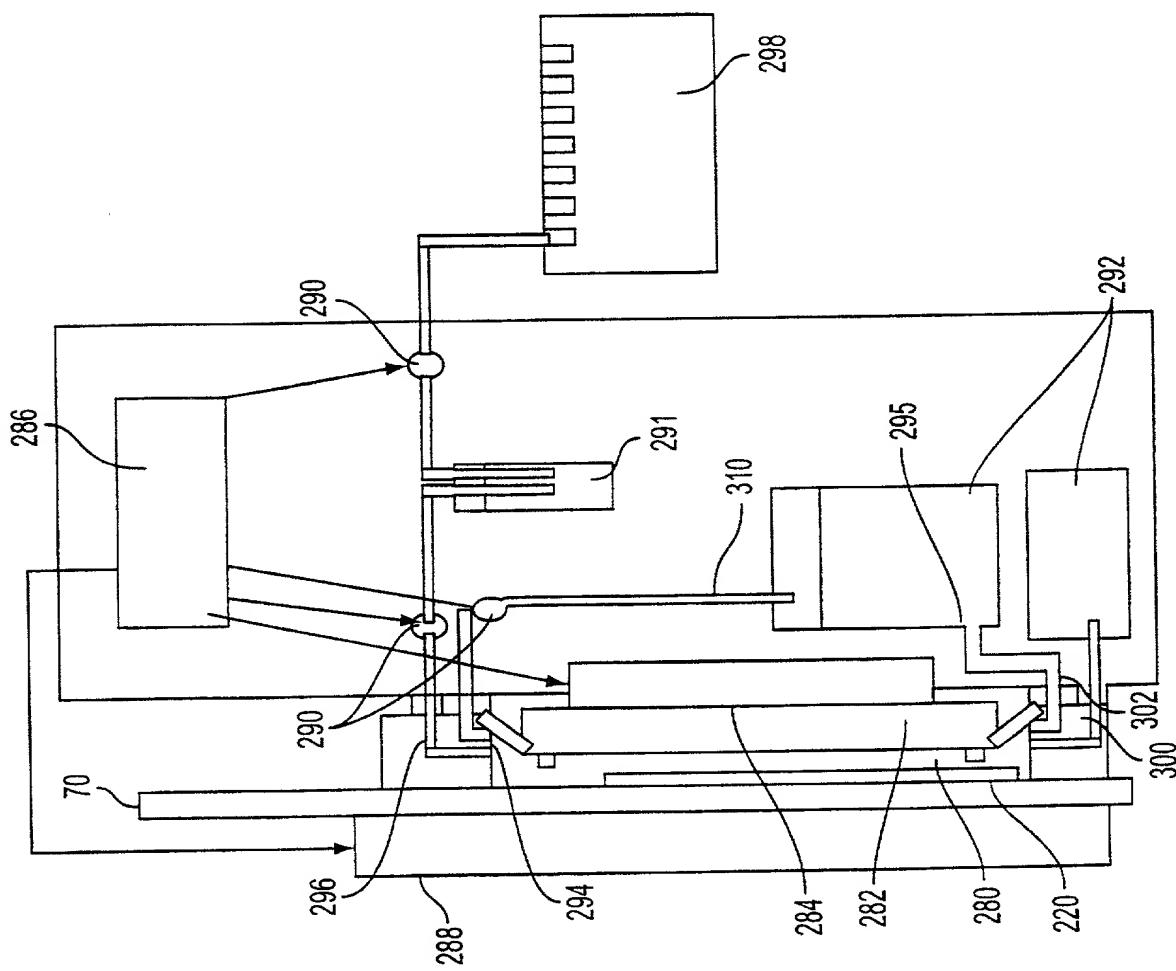
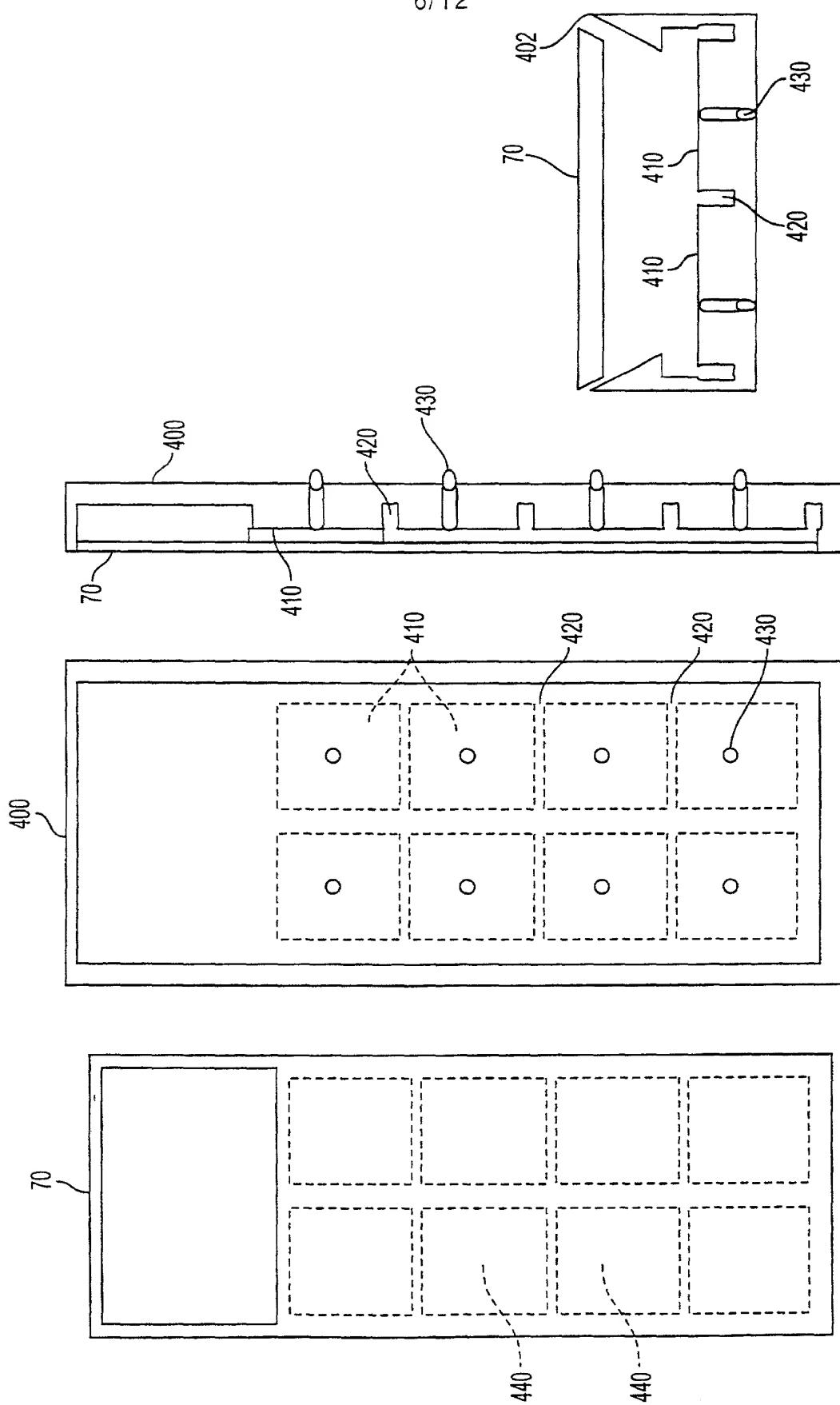


FIG. 5B

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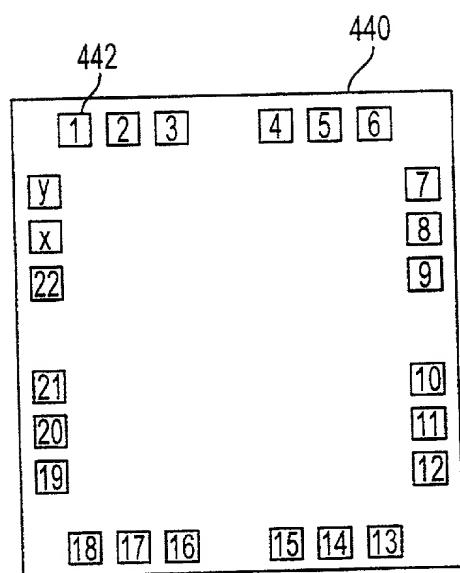


FIG. 6E

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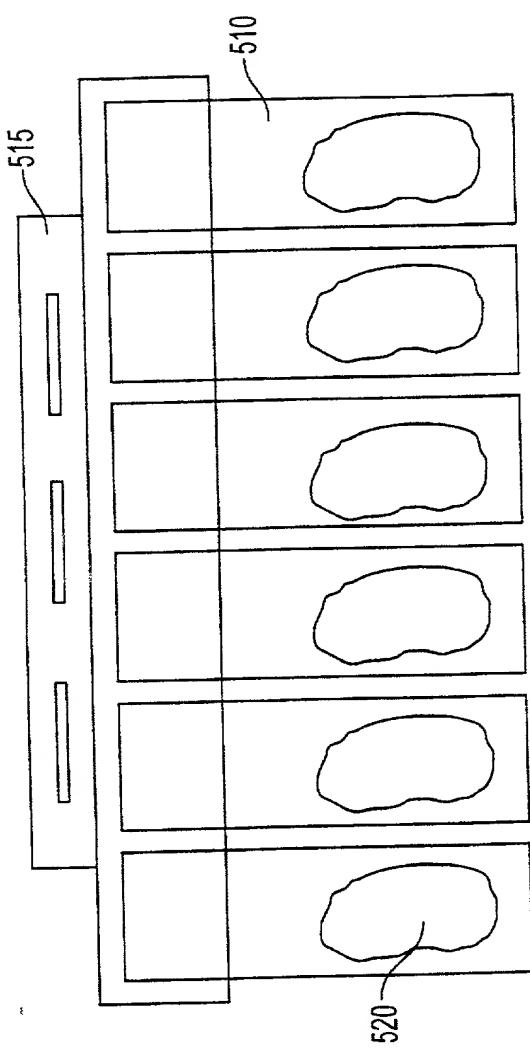


FIG. 7A

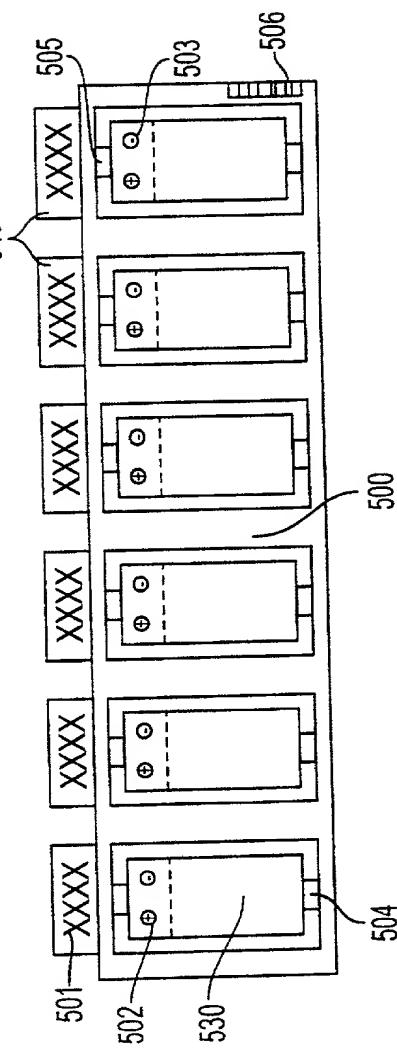


FIG. 7B

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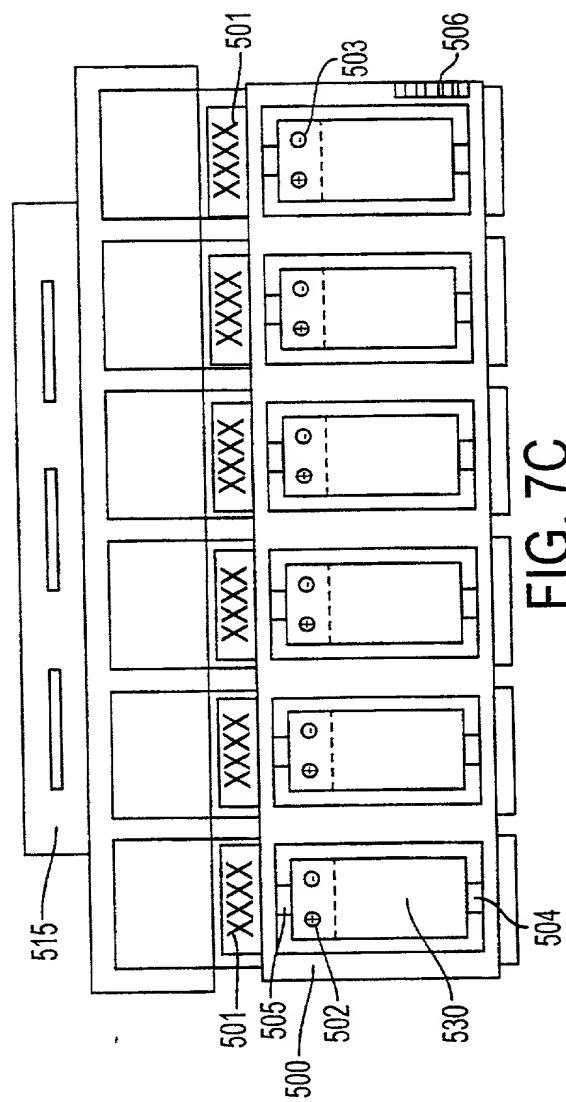


FIG. 7C

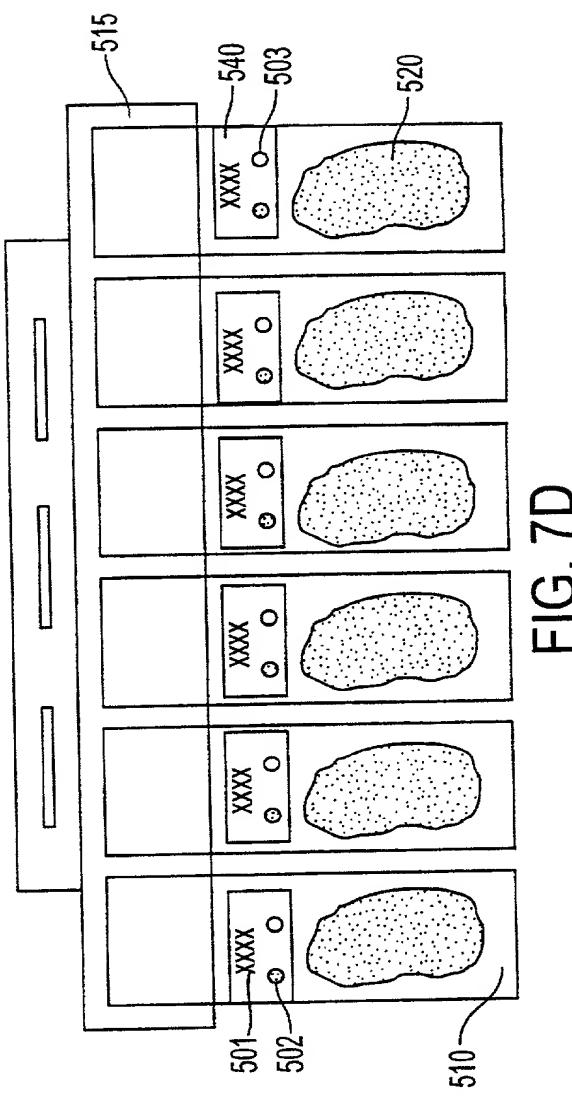
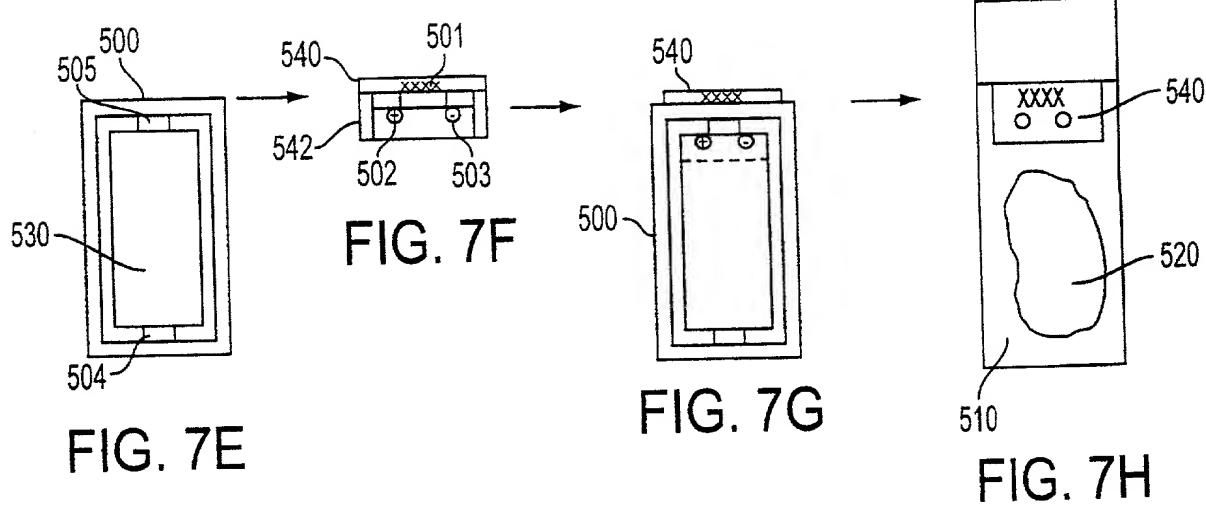


FIG. 7D

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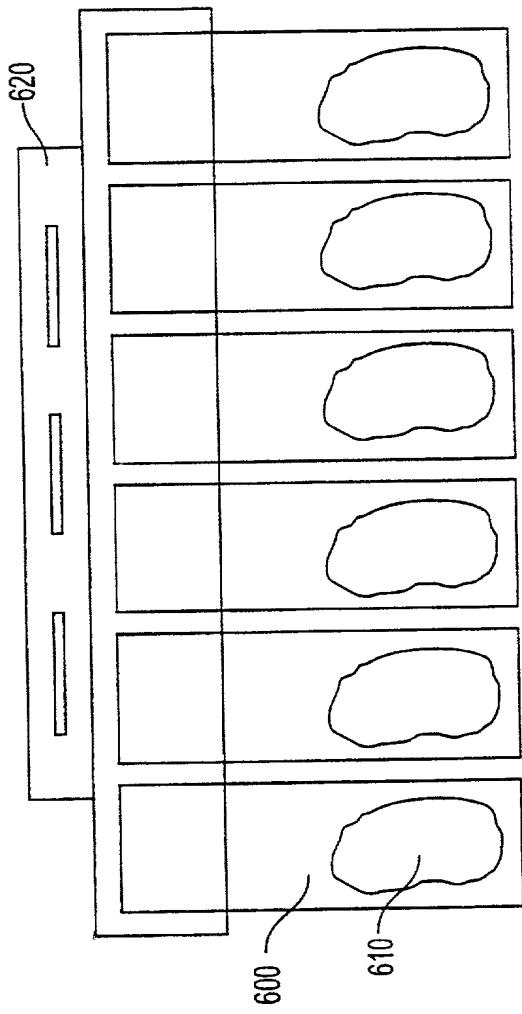


FIG. 8A

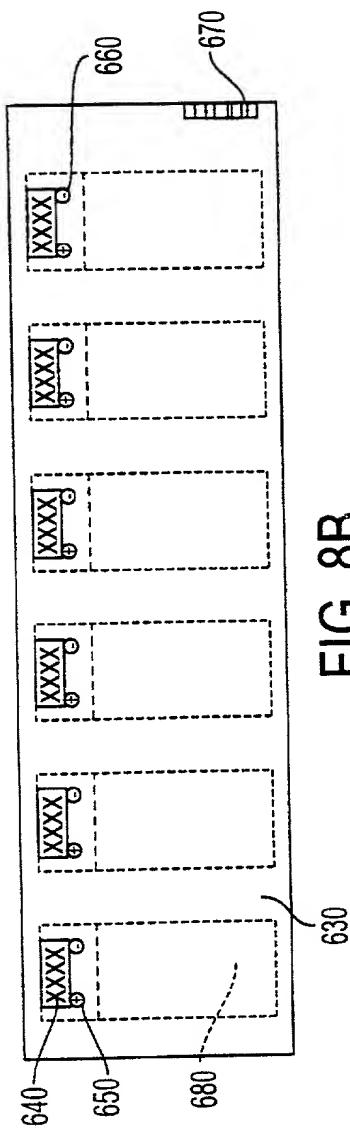


FIG. 8B

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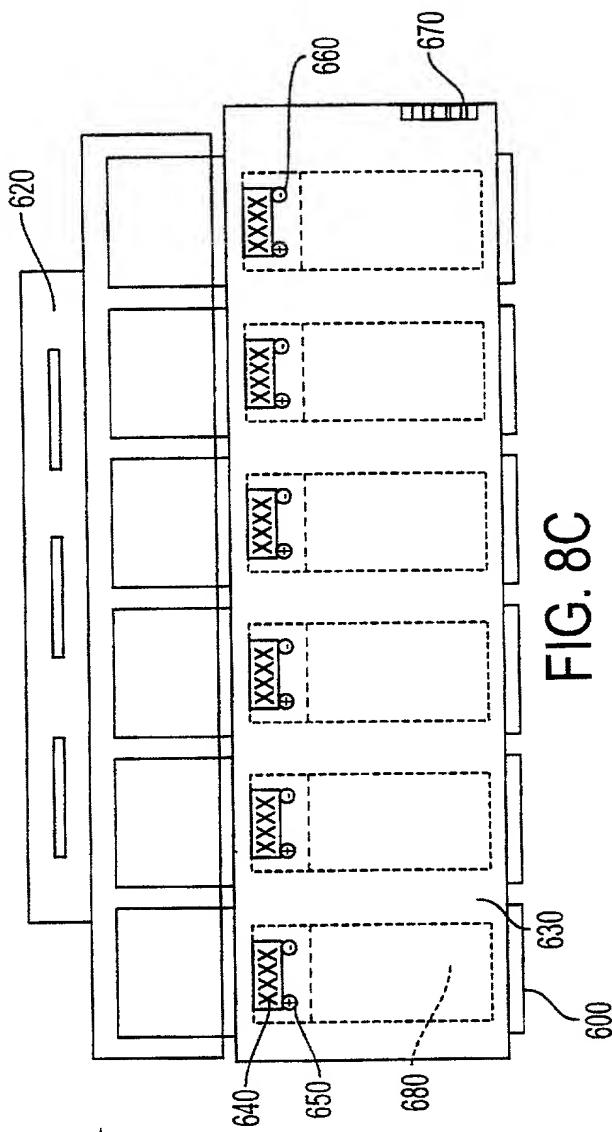


FIG. 8C

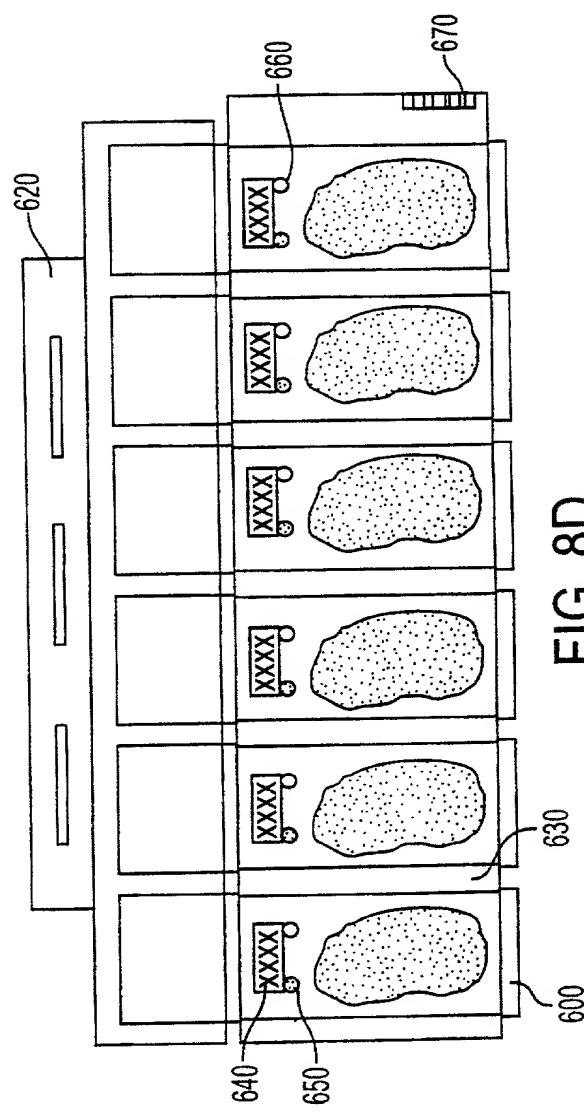


FIG. 8D

**DECLARATION AND POWER OF  
ATTORNEY FOR UTILITY OR DESIGN  
PATENT APPLICATION**  
**(37 CFR 1.63)**

Declaration Submitted with Initial Filing       Declaration Submitted after Initial Filing

Attorney Docket No.	2313-113
First Named Inventor	Wei-Sing CHU
COMPLETE IF KNOWN	
Application Number	PCT/US99/30519
Filing Date	December 22, 1999
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: APPARATUS AND METHODS FOR EFFICIENT PROCESSING OF BIOLOGICAL SAMPLES ON SHIDES, the specification of which was filed on December 22, 1999, as PCT International Application Number PCT/US99/30519, now as United States Application Number 09/869,082, and was amended on June 22, 2001.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Numbers	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	NO
PCT/US99/30519		12/22/1999	<input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number(s)	Filing Date (MM/DD/YYYY)
09/219,443	12/23/1998

I or we hereby appoint the registered practitioner(s) associated with Customer No. **6449** to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Direct all correspondence to Customer Number **6449**.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

<b>NAME OF SOLE OR FIRST INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name: Wei-Sing (first and middle [if any])		Family Name: CHU or Surname	
Inventor's Signature <i>Wei-Sing Chu</i>		Date <i>5 Sep 2001</i>	
Residence: City: Silver Spring	State: MD <i>MD</i>	Country: U.S.	Citizenship: U.S.
Mailing Address: 12503 Monclaire Drive			
Mailing Address			
City: Silver Spring	State: MD	Zip: 20904	Country: U.S.
<b>NAME OF SECOND INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country
<b>NAME OF THIRD INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country